

# Shipboard ADCP processing workshop

Nov 11-13, 2019  
National Oceanography Centre, UK

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## UHDAS ADCP data Acquisition and CODAS processing

[UHDAS + CODAS Documentation](http://currents.soest.hawaii.edu/docs/adcp_doc/index.html)

[http://currents.soest.hawaii.edu/docs/adcp\\_doc/index.html](http://currents.soest.hawaii.edu/docs/adcp_doc/index.html)

# Outline

## Day 1: Morning:

- Seminar: Maximizing the Scientific Value of Ocean Current data from Shipboard ADCP
- 2. ADCP Data Acquisition
  - compare: VmDAS UHDAS
- 3. CODAS Processing
- 4. Data Stewardship

After: Practice

# Maximizing the Scientific Value of Ocean Current data from Shipboard ADCP

Monday November 11, 2019  
National Oceanography Centre  
Southampton, UK

Dr. Julia M. Hummon  
University of Hawaii  
[hummon@hawaii.edu](mailto:hummon@hawaii.edu)

<https://currents.soest.hawaii.edu>

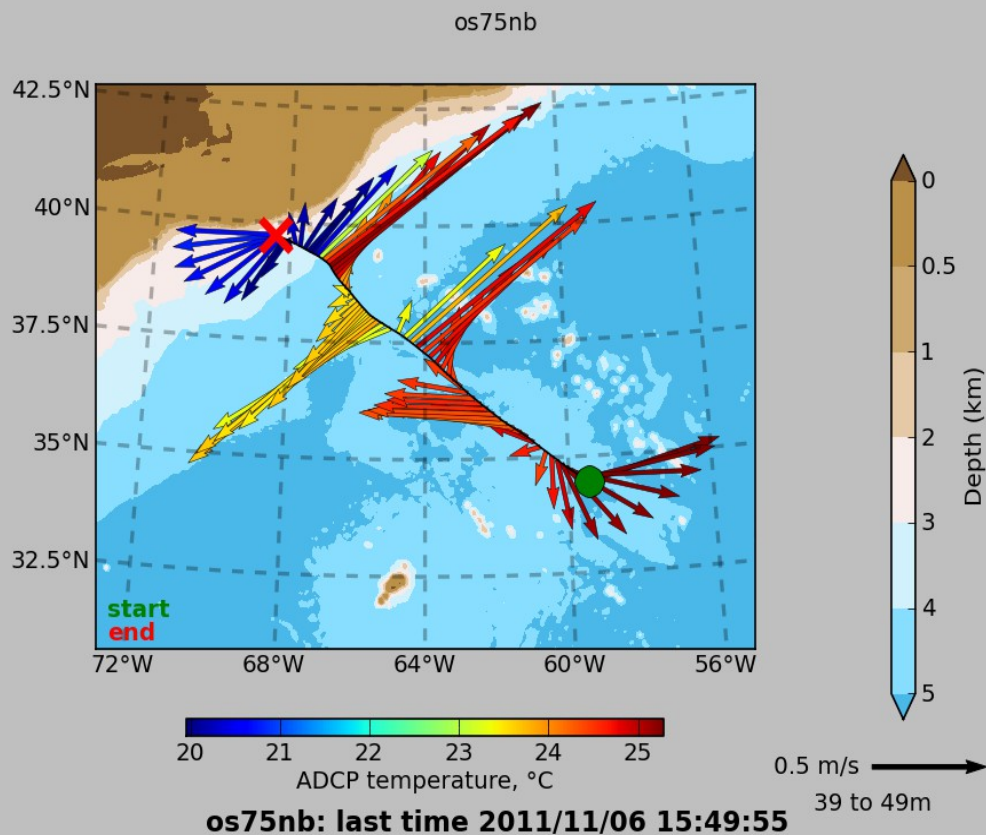
# Overview

- what is shipboard ADCP? who uses the data?
- where are SADCPS installed?
  - introduction to U.S. Academic Research Fleet; NOAA
- data flow (part 1):
  - acquisition, data on the ship
- maximizing scientific value of shipboard ADCP
  - make it work well; keep it working well
  - make it available immediately and in the future
  - be able to reprocess after the cruise
- data flow (part 2):
  - roles of processing, scientists, national archive

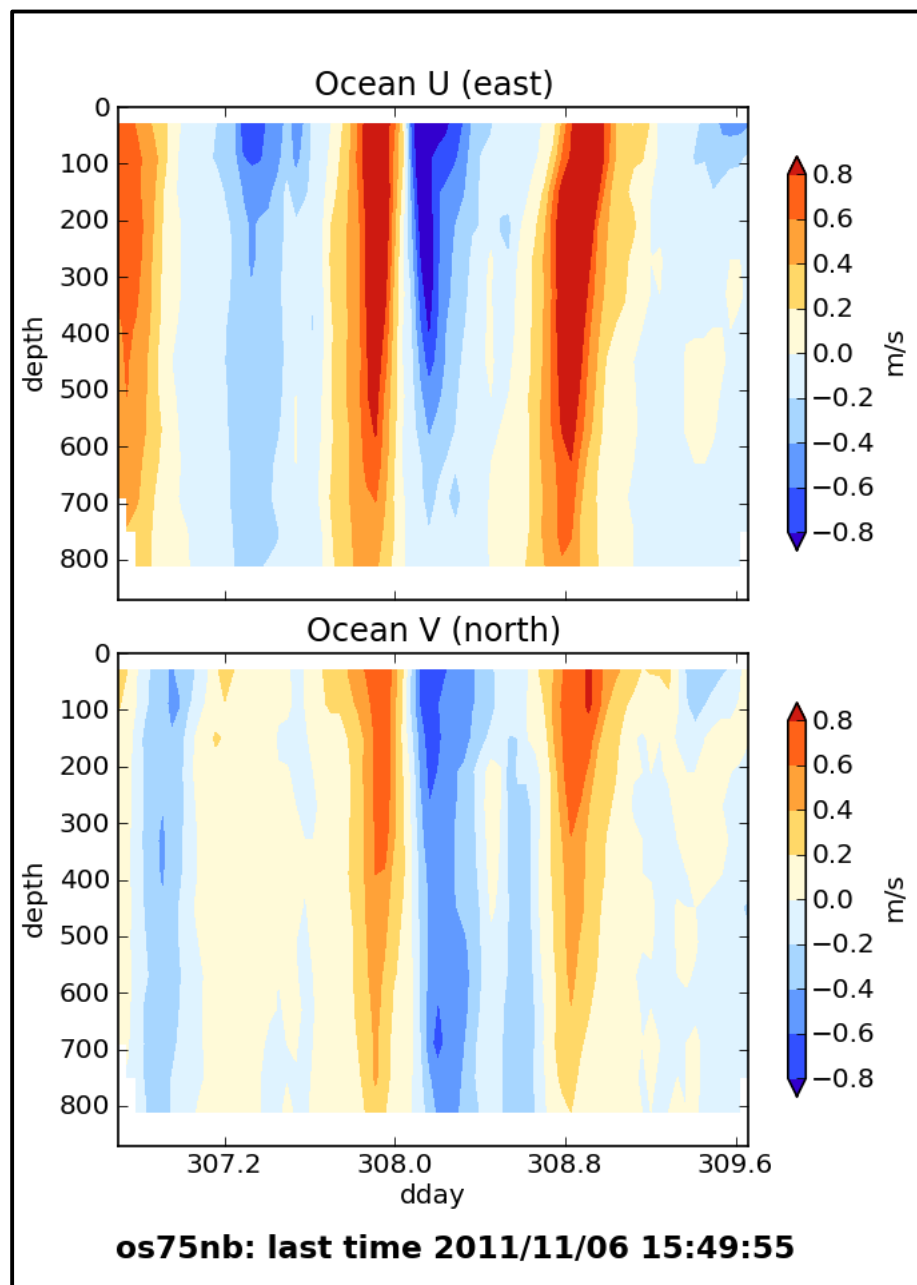
Time, ADCP,  
Position,  
Attitude

primitive (raw) data

AfterProcessing



# After Processing



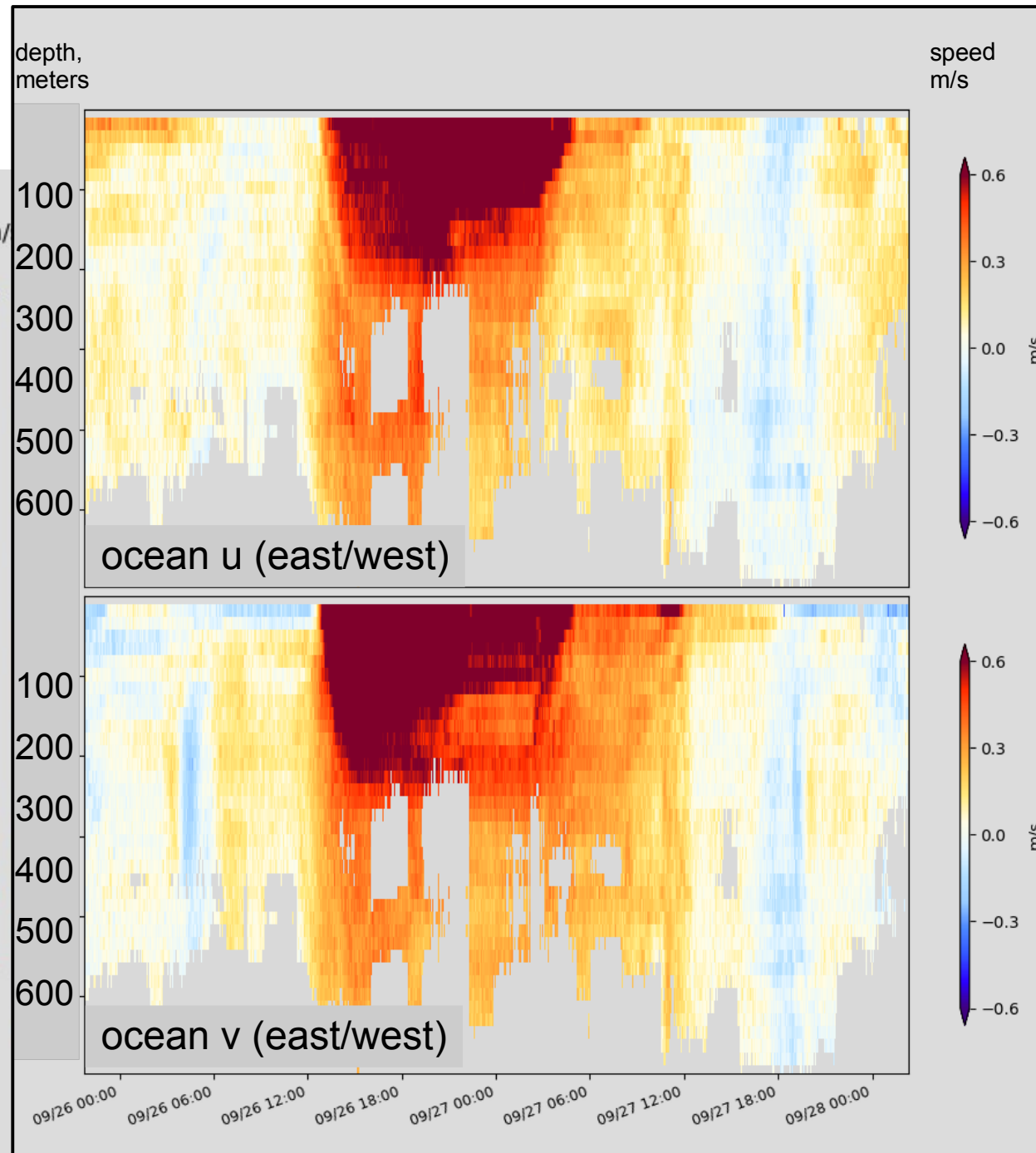
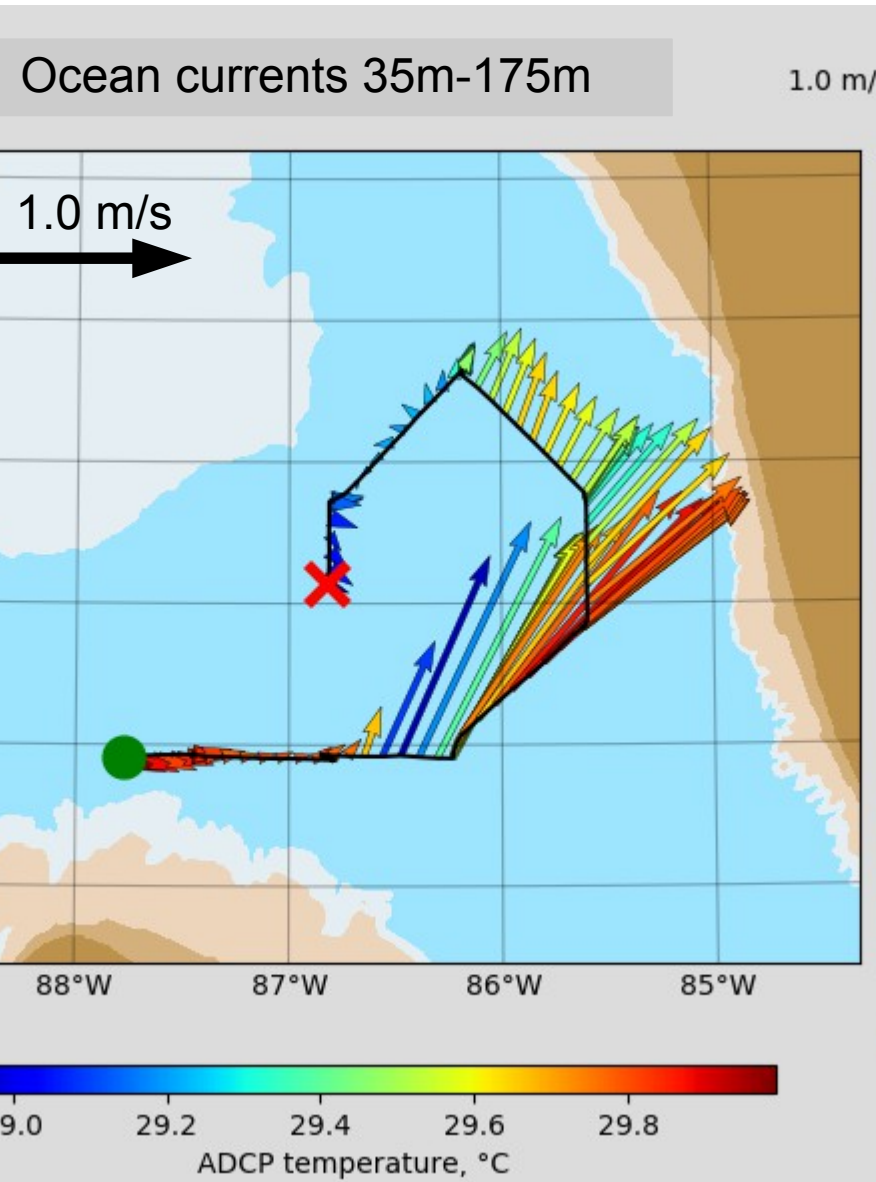
## Who uses the data? What is it good for?

at sea:

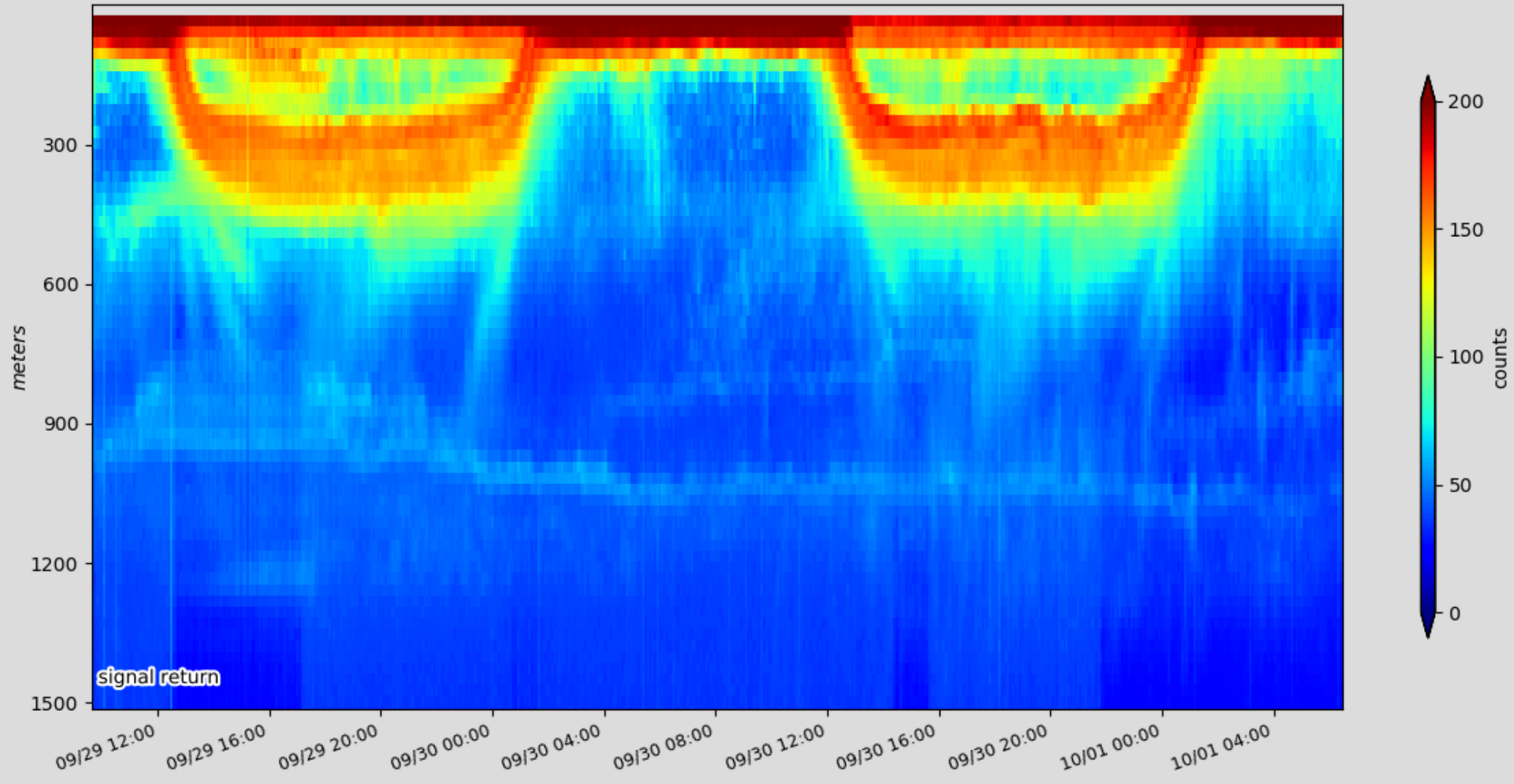
- operations, eg:
  - currents for over-the-side work (moorings, CTD)
  - backscatter levels for targeted biological sampling
  - currents for ROV operators
- dynamic sampling, eg:
  - where is the front?
  - when did we cross the front?
  - which direction will the instrument drift after deployment?

# R/V Pelican Mooring cruise, Gulf of Mexico

Donohue, PE20-06



# Kilo Moana: 38kHz ADCP backscatter (tropical eastern pacific)





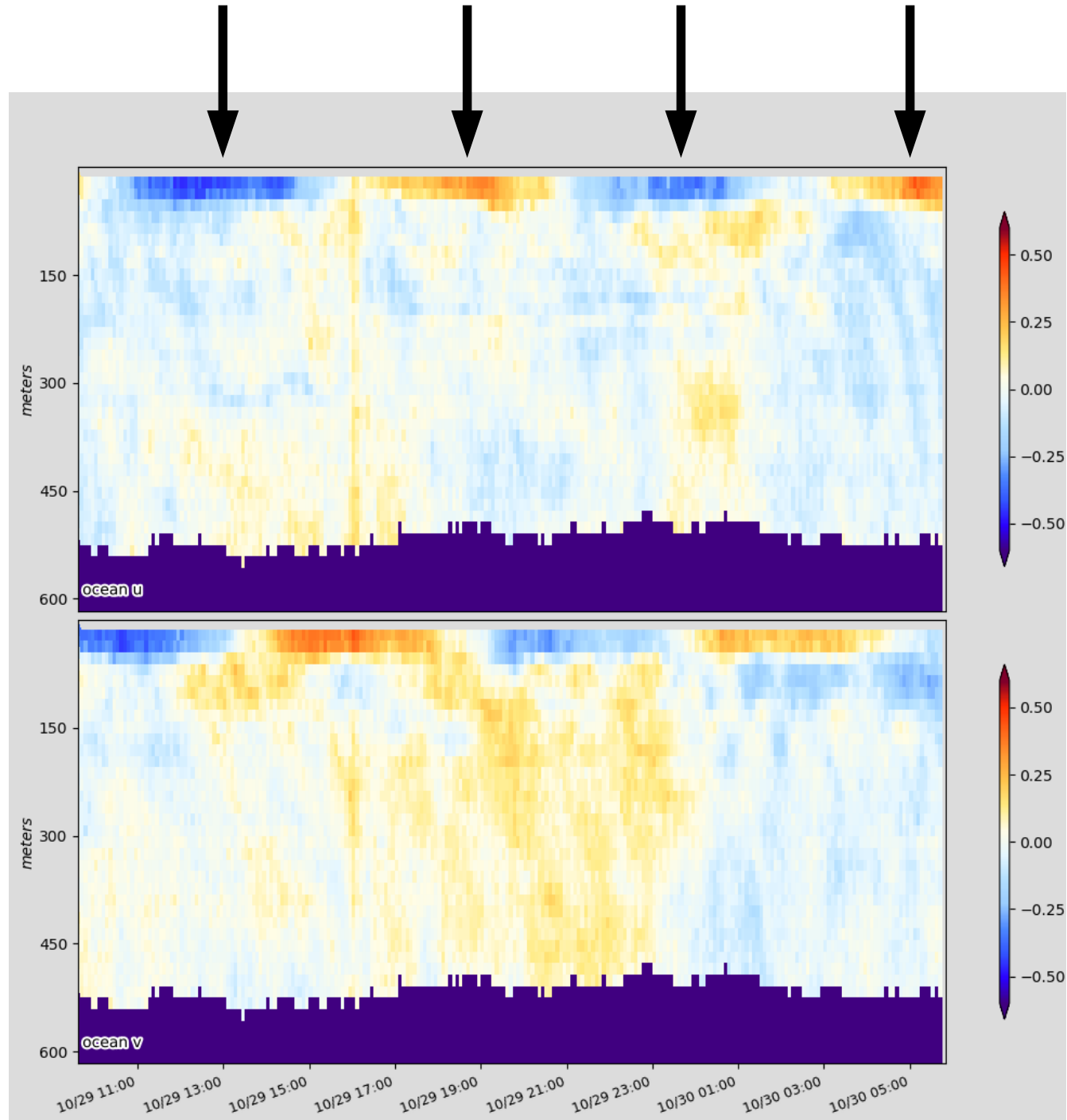
# Scientific relevance of shipboard ADCP data

- process studies:
  - near-inertial motion
  - internal wave energy (upward propagation of phase)
  - high-frequency internal waves (on station)
  - context for small-scale mixing studies
- time series
  - dedicated, on station (HOT, BATS)
  - transects: Drake Passage, Oleander
  - after the fact: equatorial Pacific
- comparison with satellites
- backscatter (even if uncalibrated)

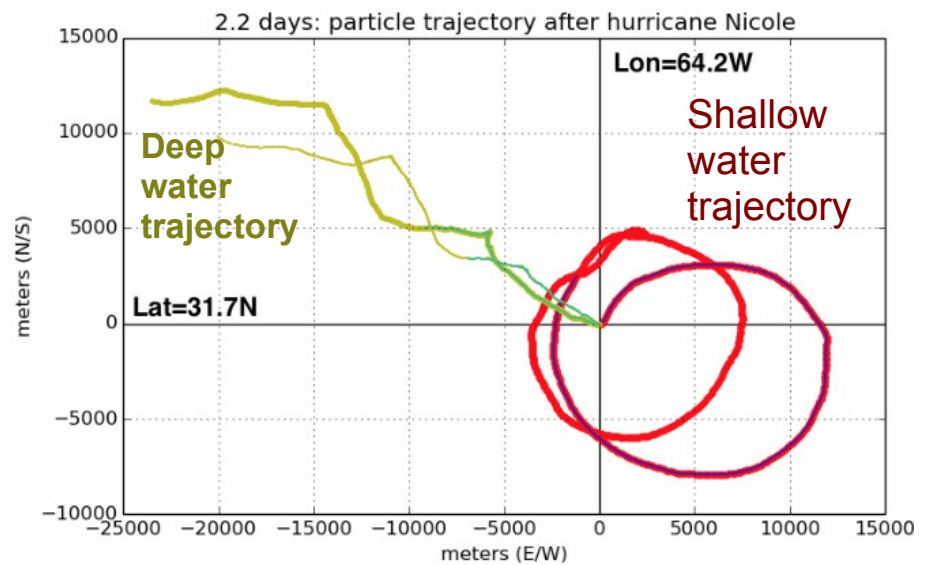
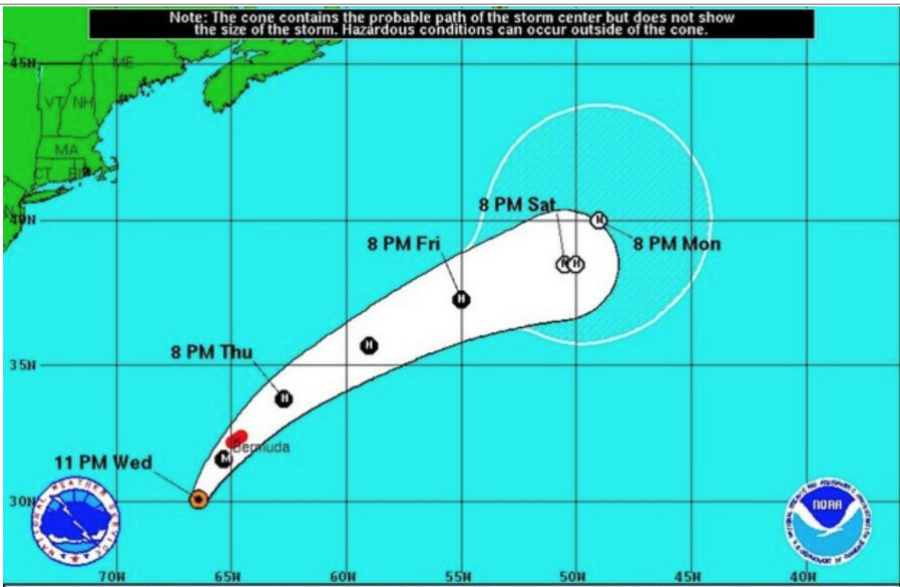
examples  
follow...

Near-inertial  
motion caused  
by strong winds;

stratified ocean  
keeps the energy  
at the surface



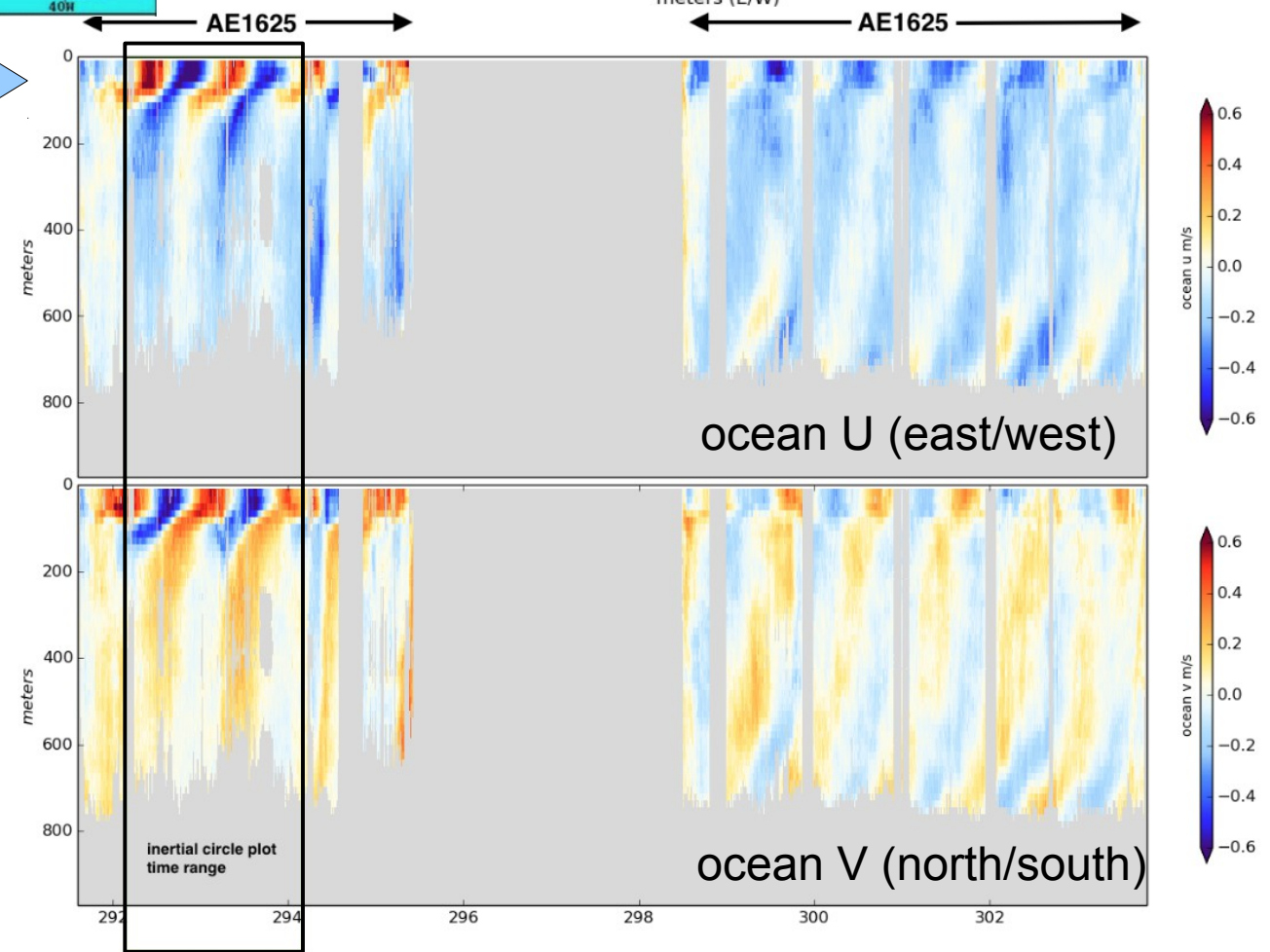
Note: The cone contains the probable path of the storm center but does not show the size of the storm. Hazardous conditions can occur outside of the cone.



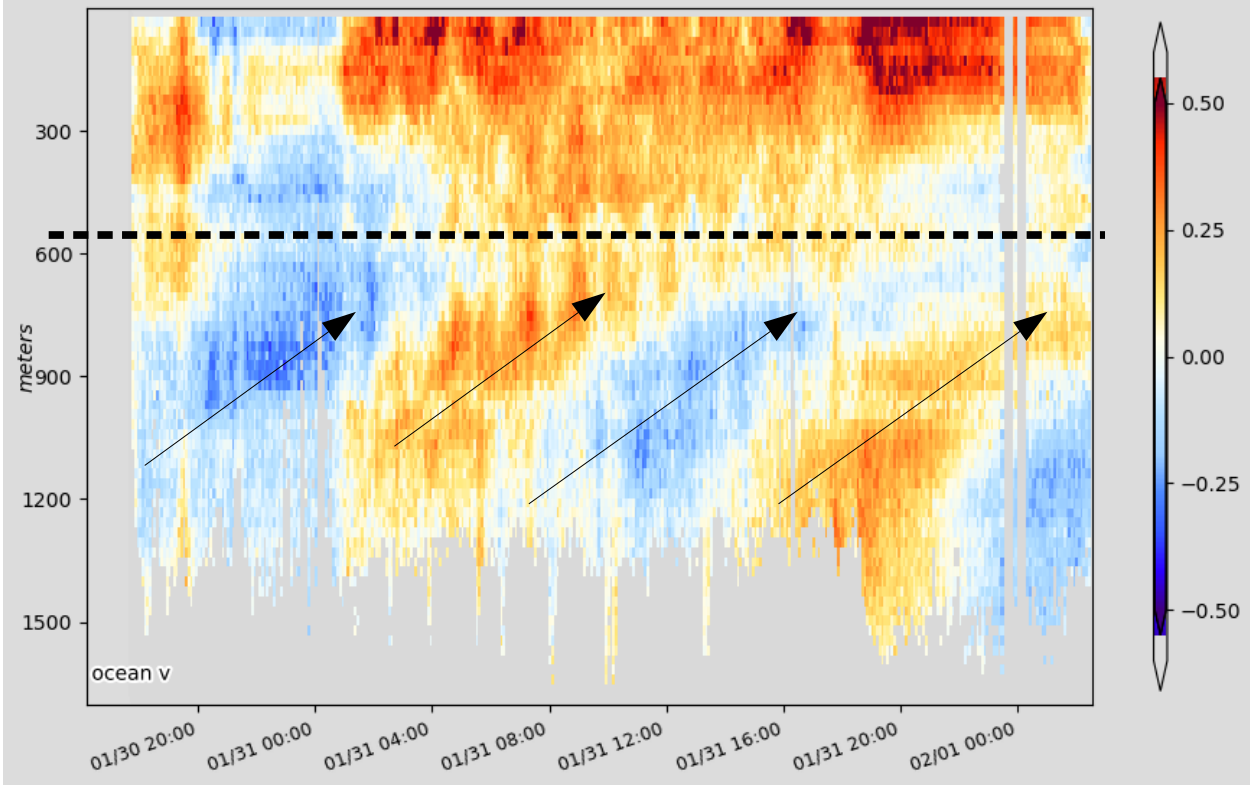
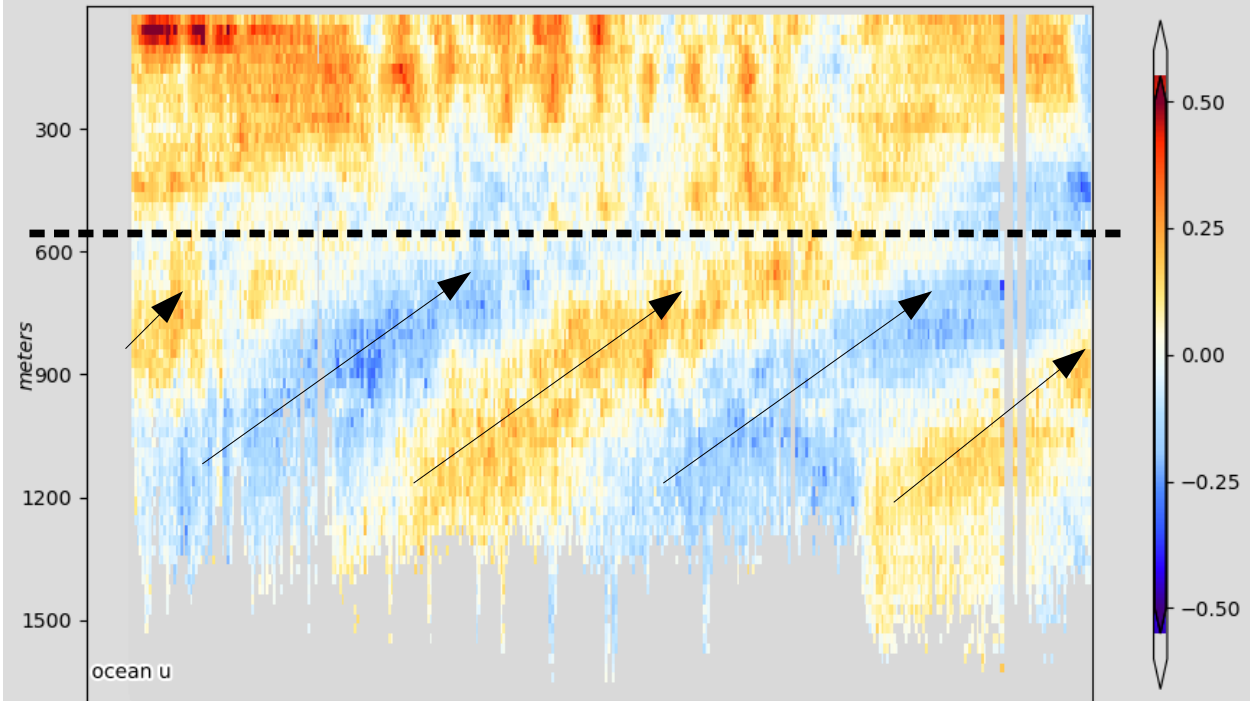
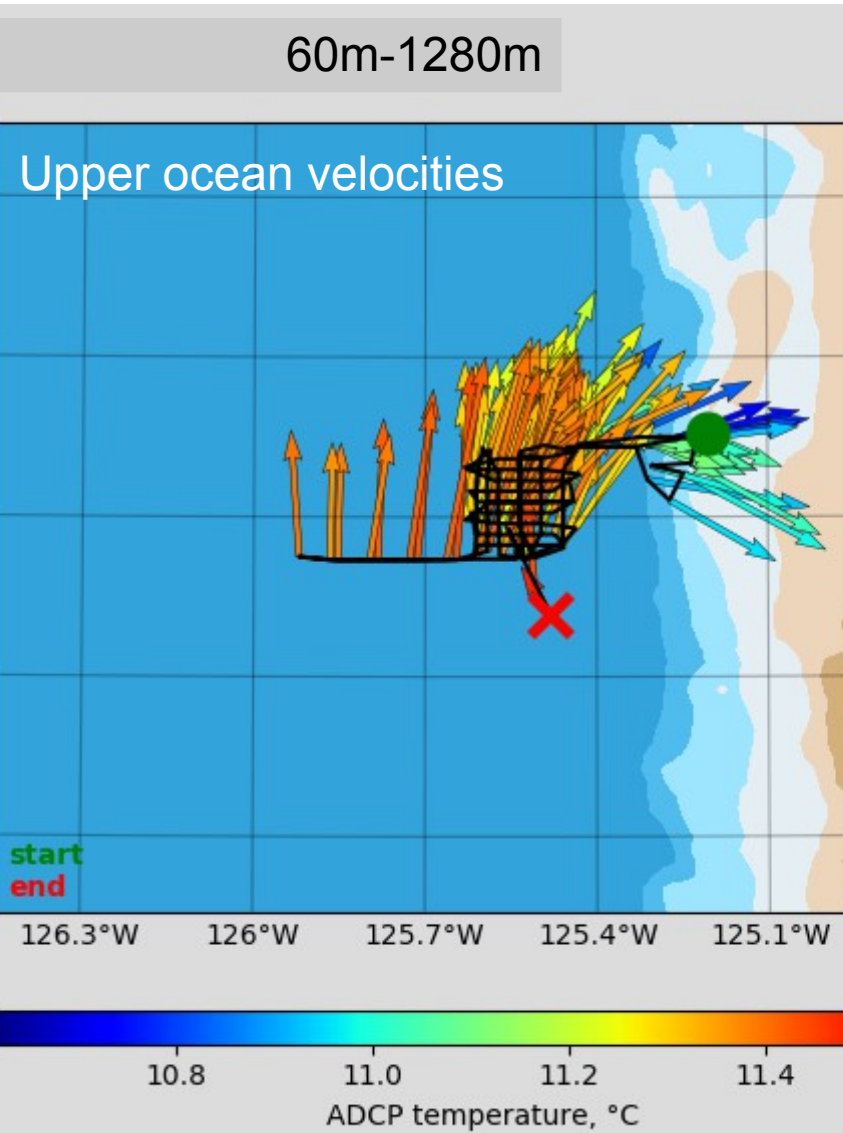
shallow inertial signal →

# Hurricane Nicole Oct 12, 2016

deep inertial signal later



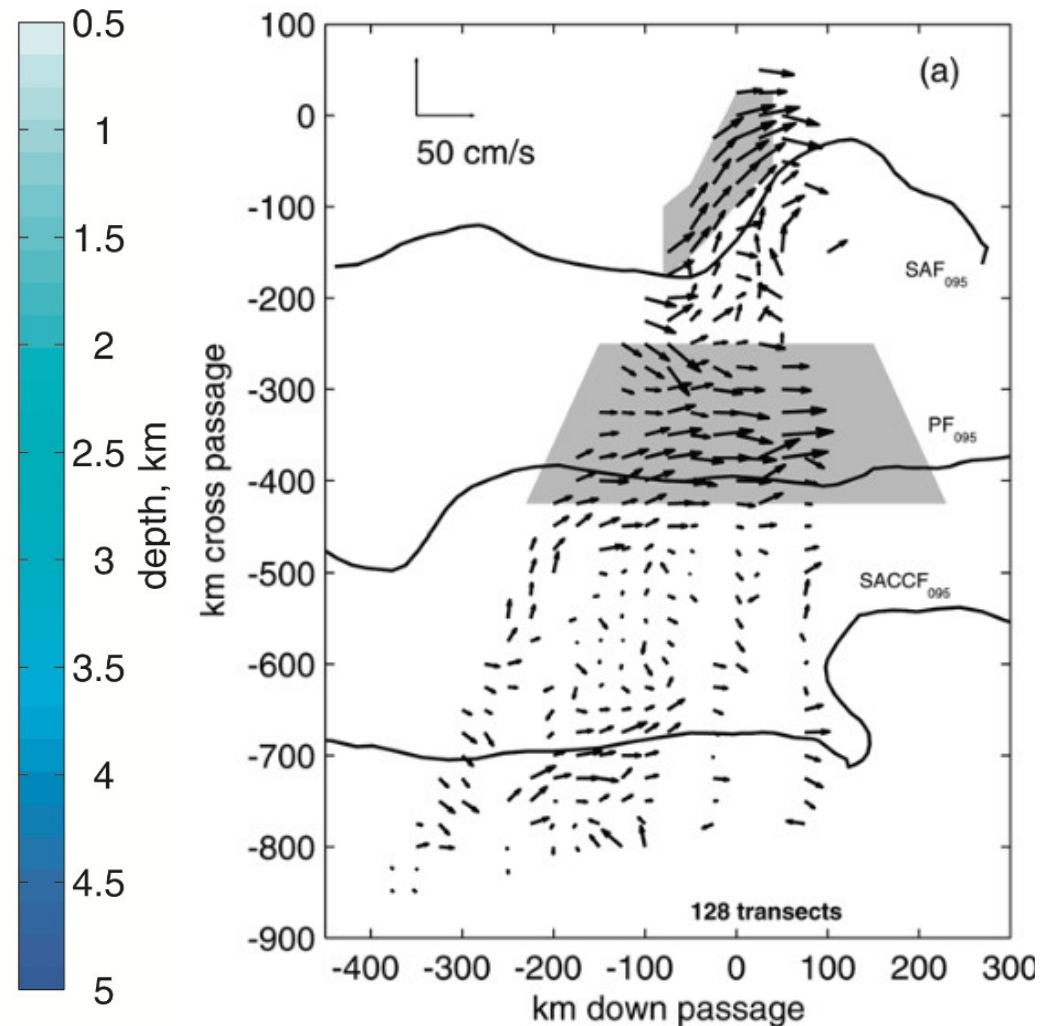
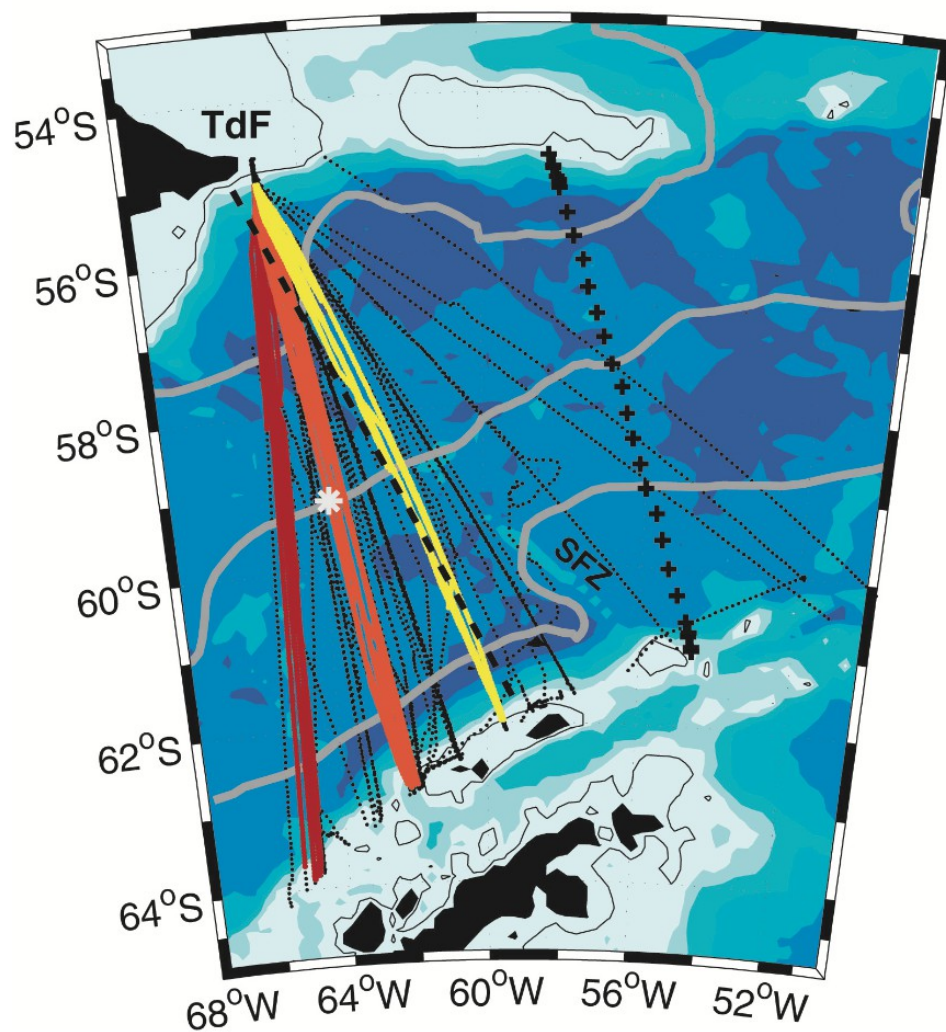
Kilo Moana (45N)  
off the Oregon Coast:  
internal tide beam,  
energy downward  
(phase upward) below 600m



# Time Series Examples

## Laurence M. Gould: Drake Passage

*Lenn et al, JMR, 2007*



# Time Series: Equatorial Pacific

SADCP from TAO sections  
*Crevatte et al, JMR 2017*

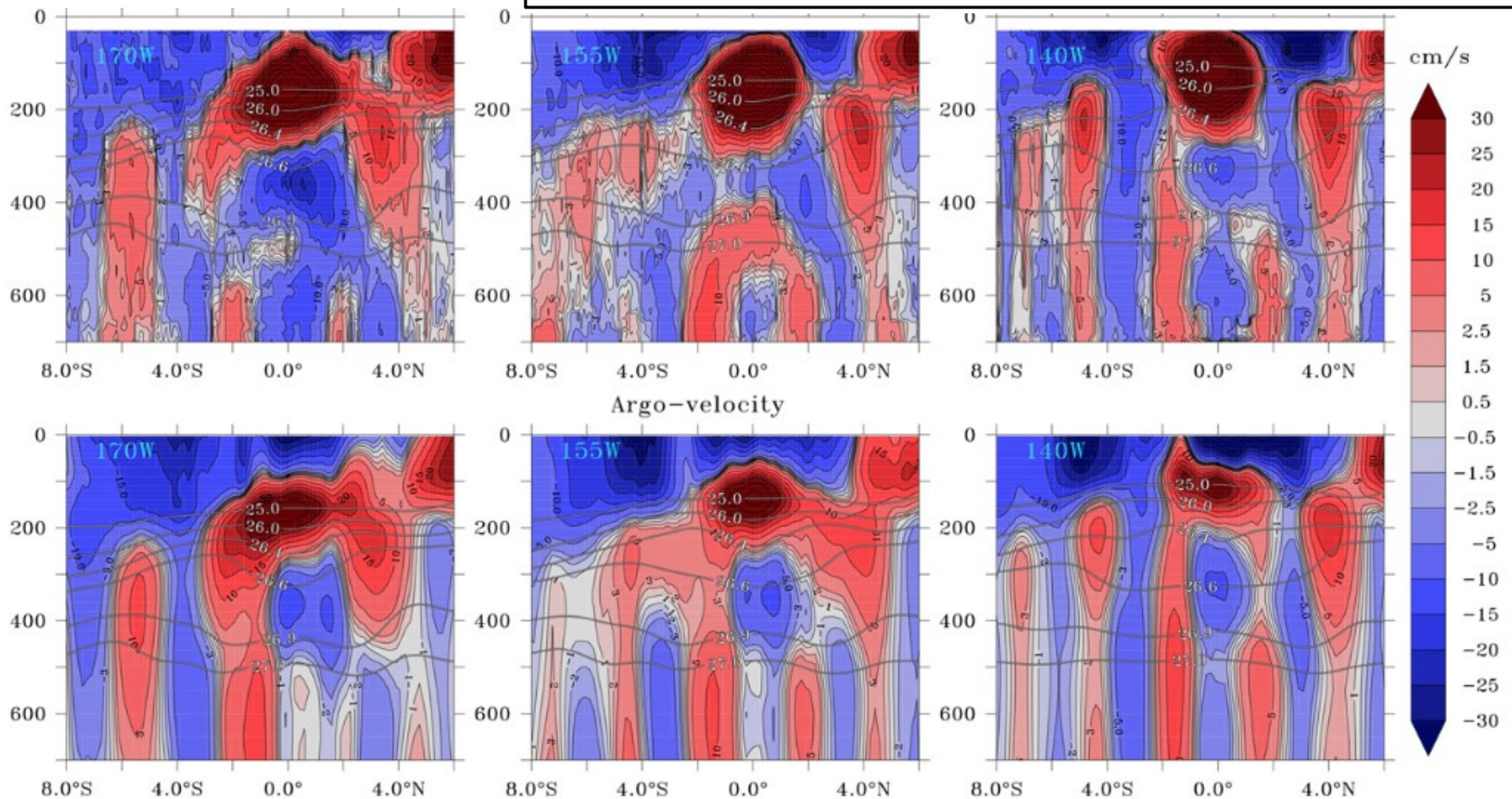
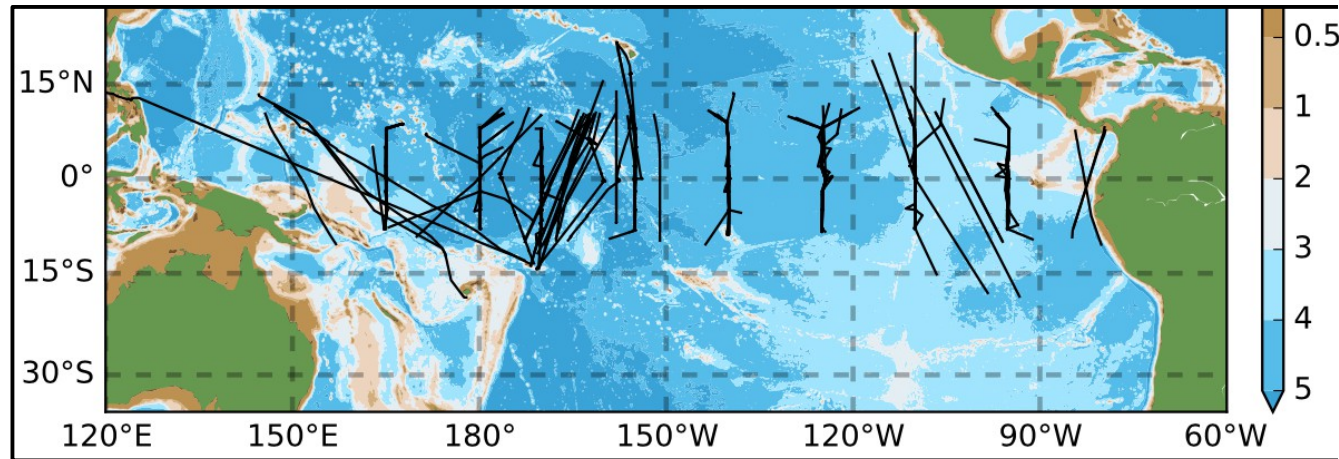


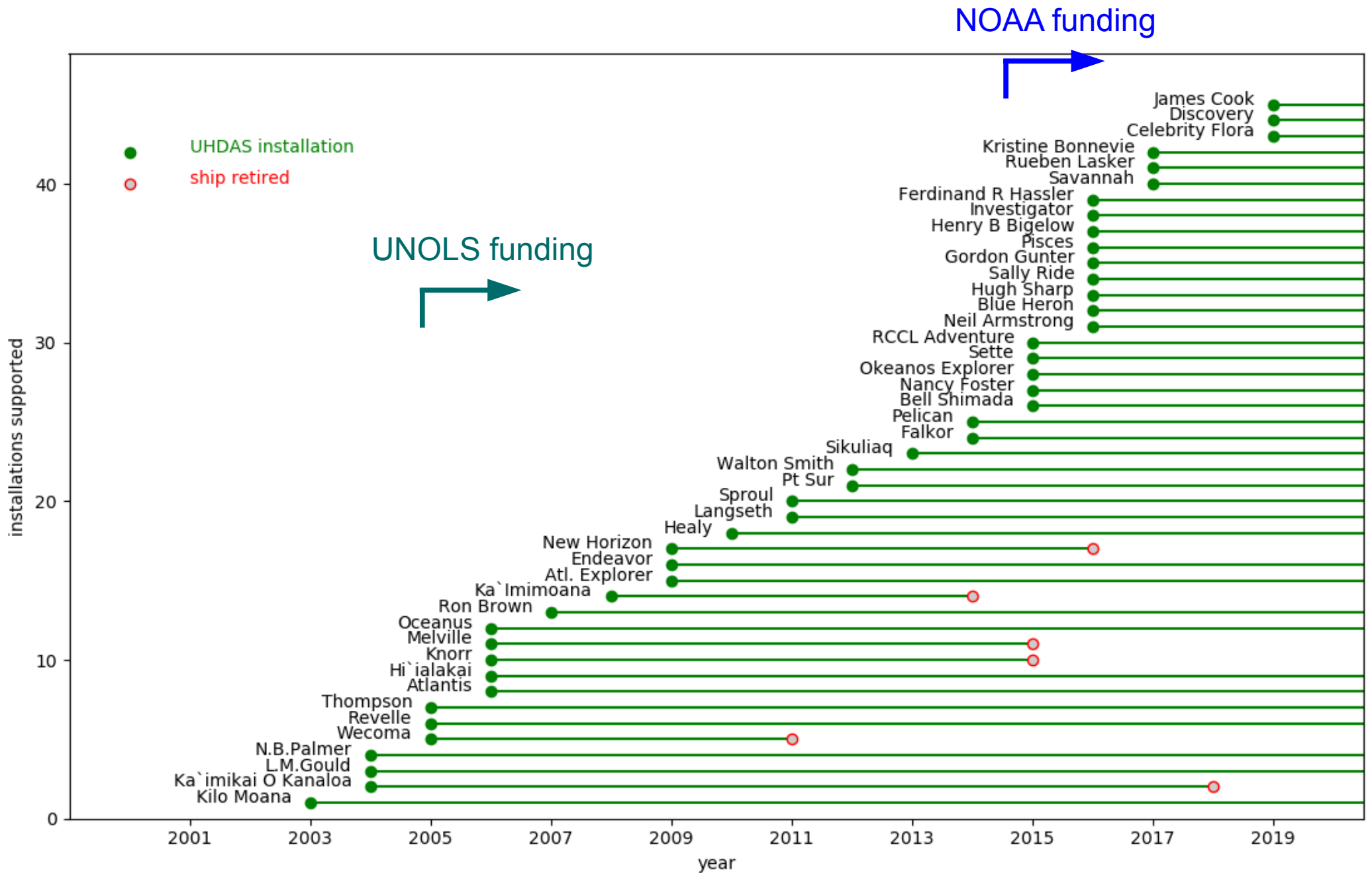
FIG. 12. Mean zonal velocity from (top) SADCP data and (bottom) Argo velocity product at (left) 170°W, (center) 155°W, and (right) 140°W in  $\text{cm s}^{-1}$ . Superimposed are some selected isopycnals.

# Where are scientific shipboard ADCPs installed?

- Internationally:
  - oceanographic research vessels
  - smaller science vessels
  - Navy ships

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- In the United States:
  - Academic Research Fleet (“**UNOLS**” = ~20 ships)
    - general oceanography: 30m-85m, polar: 70m-130m
    - operated by 12 different institutions
    - each ship sails with 1-6 techs (depending on ship size)
  - Nat'l Oceanographic and Atmospheric Admin (**NOAA**=11 ships)
    - each ship sails with 2 techs
  - smaller science vessels

# UHDAS Installations supported: by year





# Data flow: from ship to science

- acquisition, data on the ship
  - VmDAS (available from RDI, windows)
  - UHDAS (from University of Hawaii, linux)
- roles of processing
  - On the ship?
  - Does the scientist process the data?
  - Is there a “processing facility” cleaning the data?
- U.S. national archive
  - JASADCP (for processed science-ready SADCP)
  - NCEI (via R2R) “as collected” UHDAS SADCP

# Maximizing the Scientific Value of Shipboard ADCP

- make it work well; keep it working well
- make it available immediately and in the future
- be able to reprocess it in the future

links:

[UHDAS Operations](#)

[Comparison between UHDAS and VmDAS](#)

# ADCP:

## Getting Ocean Currents

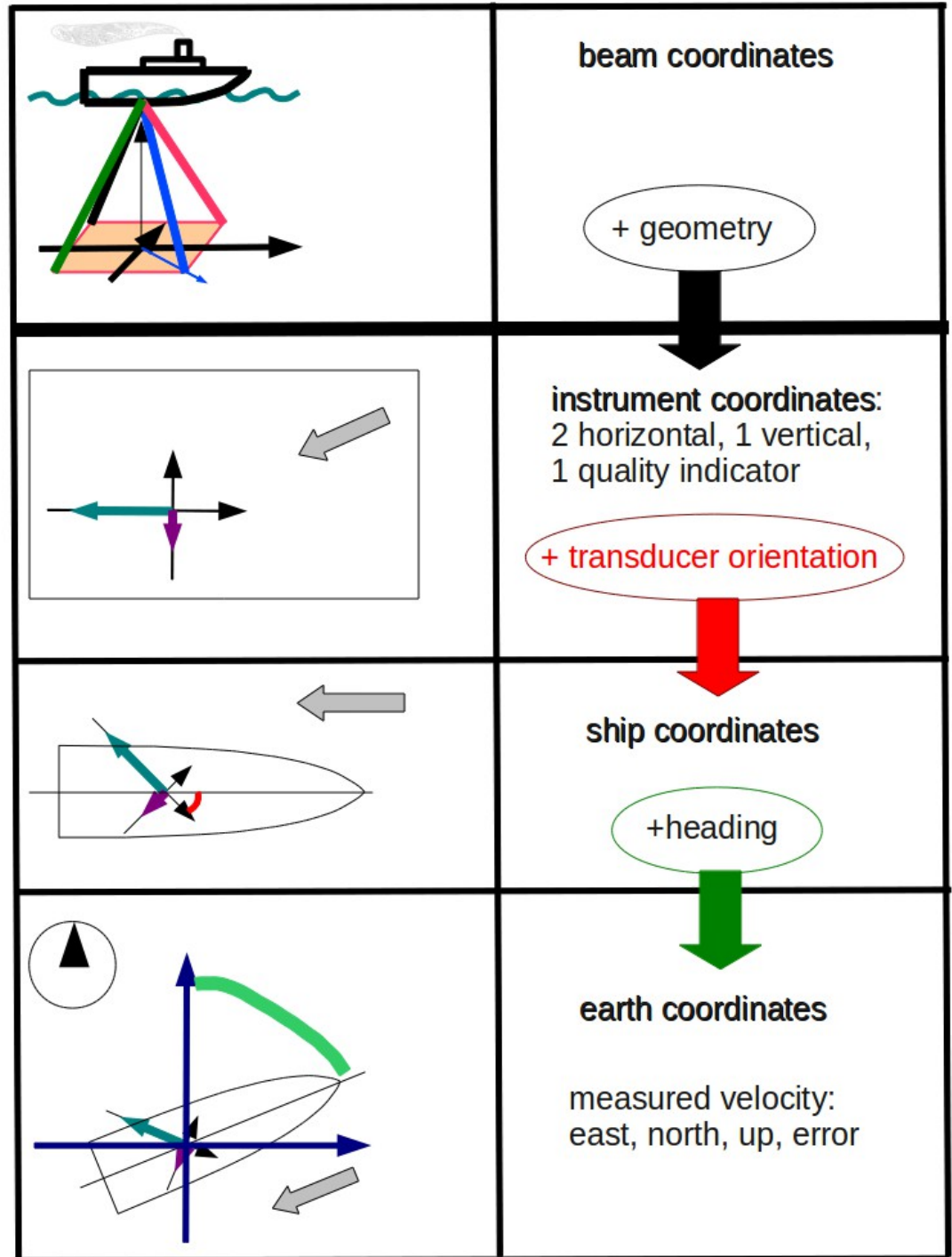
### Summary of steps:

### Doppler to beam (not shown)

below here: measured velocity  
(coordinate transformations)

- beam to instrument
- instrument to ship
- ship to earth
- averaging

below here: ocean velocities  
• remove ship's speed



# ADCP preliminary Processing

“processing” requires (at minimum)

- transform from beam coordinates to horizontal
- rotation into ship coordinates
- further rotation based on heading
- removal of ship's speed

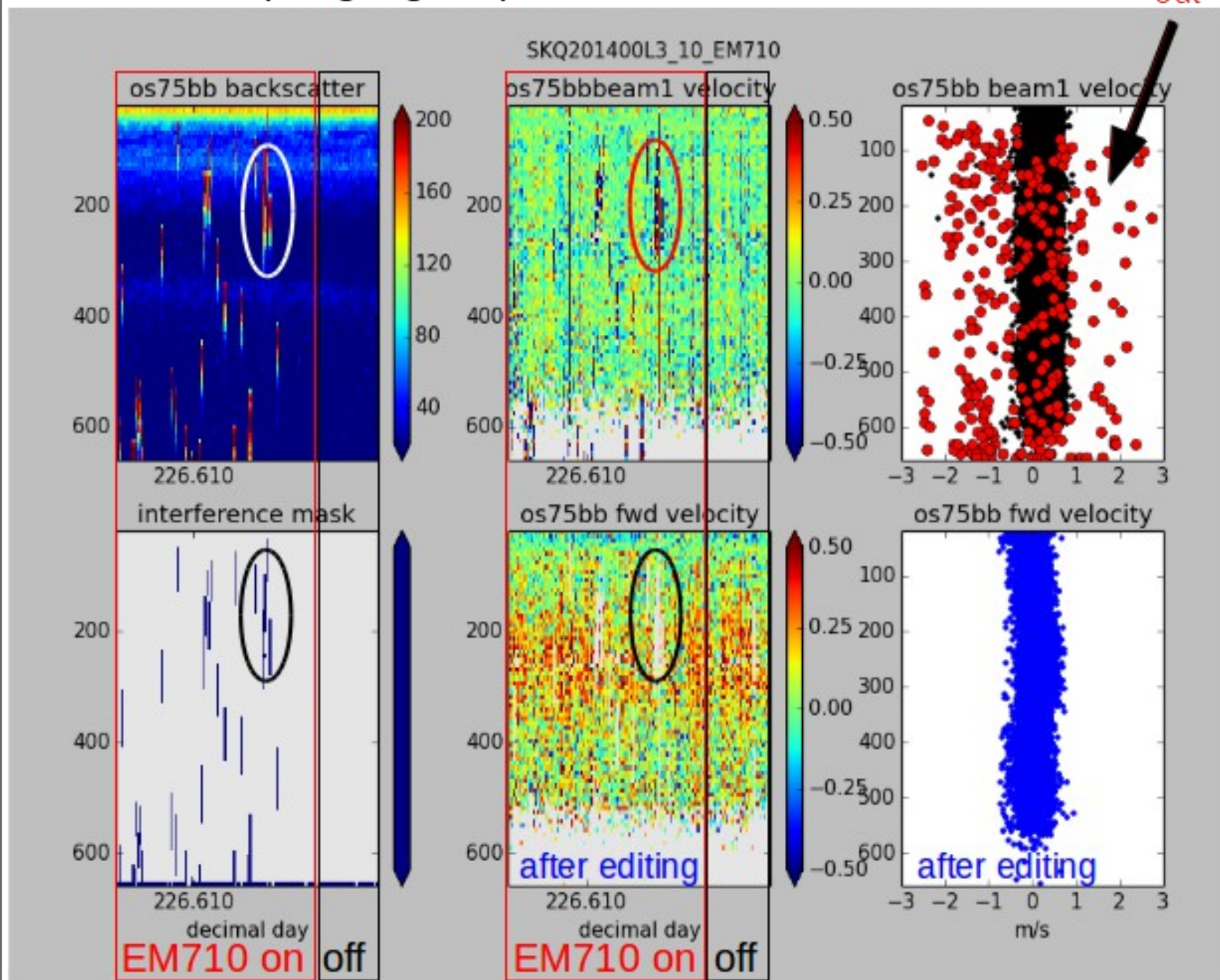
## **CODAS processing also has single-ping editing:**

- **velocity editing based on acoustic interference**
- **removal of data below the bottom (and side-lobe)**
- **weak (short), biased profiles**
- **remaining statistical outliers**
- averaging
  - **CODAS directory is staged for post-processing**
  - **5Gb cruise directory distilled down to 50Mb-100Mb**

# CODAS single-ping editing based on acoustic interference

## EM710 pinging impact on OS75 broadband

edited out



# CODAS processing overview

- built from scratch for shipboard ADCP
- data are stored in a CODAS database; routines for manipulation
- open source (Python3, C)
- runs natively on Mac, Linux
- fully functional virtual linux computer available (Virtual Box)
- modular, configurable
- pairs well with UHDAS data, (can be used for VmDAS data)
- visualization tools, calibration tools
- documented and freely available

link: [CODAS+UHDAS documentation](https://currents.soest.hawaii.edu/docs/adcp_doc/index.html)

[https://currents.soest.hawaii.edu/docs/adcp\\_doc/index.html](https://currents.soest.hawaii.edu/docs/adcp_doc/index.html)

# How UHDAS improves the quality of shipboard ADCP data

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- acquisition (ADCP, position, heading)
  - easy to use; can return to known-working settings
- automated processing (“pre-processing” at sea)
- monitoring
  - on ship: via at-sea web site
  - on land: automated daily emails to UHDAS Team
  - feedback to technicians on the ship
- data and products for
  - operations and science at sea
  - ease of post-processing after the cruise
  - discovery/evaluation in the future



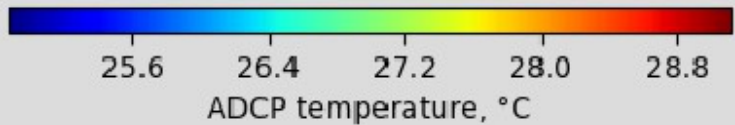
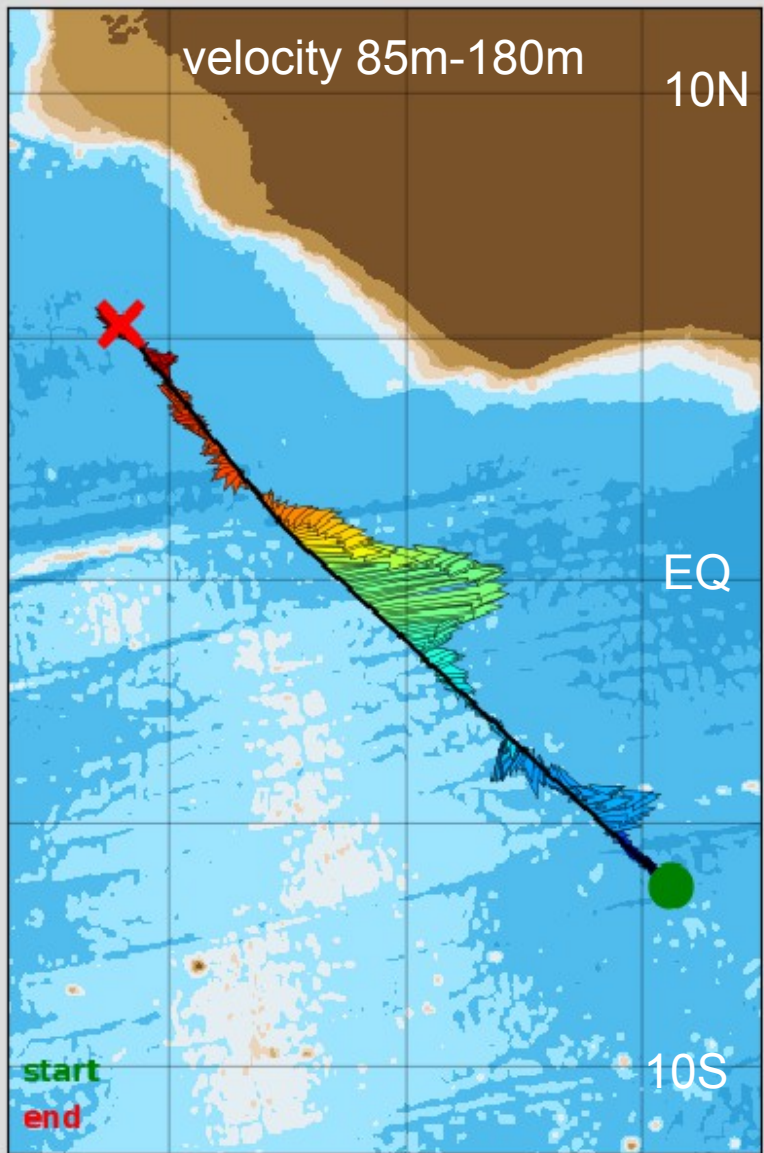
**CODAS**

# Monitoring

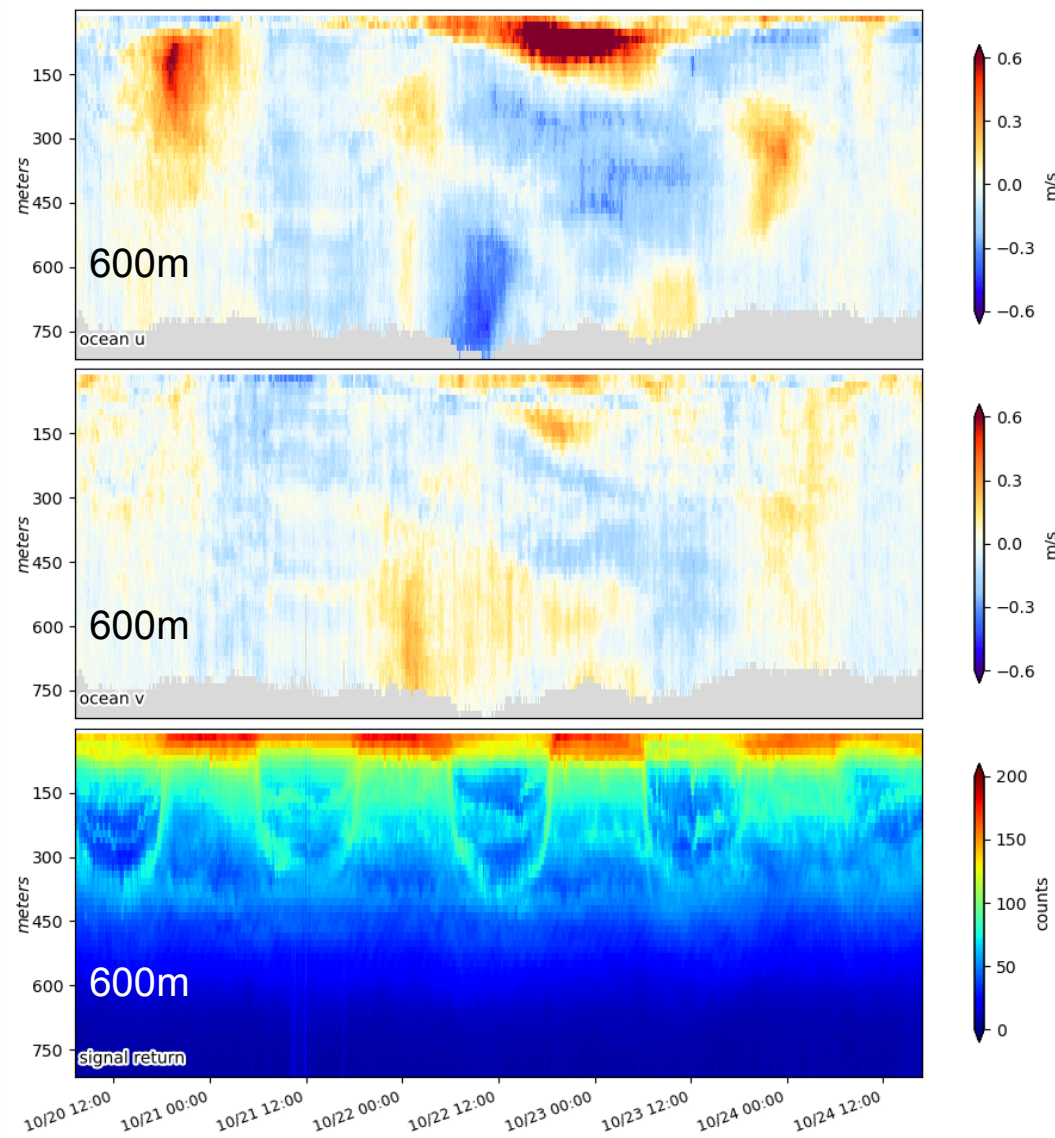
- on ship:
  - via at-sea web site
- on land
  - automated daily emails to UHDAS Team
  - Dashboard with cruise status, figs, diagnostics
  - ticketing system: first pass at identifying problems:
    - notifies the team of a problem
    - mechanism for tracking problems (eg, cruise, ship, instrument)
    - has guidance for common problems
- Team provides feedback to technicians on the ship



0.2 m/s →



# Equatorial Cross-section R/V James Cook Oct 21-24, 2019



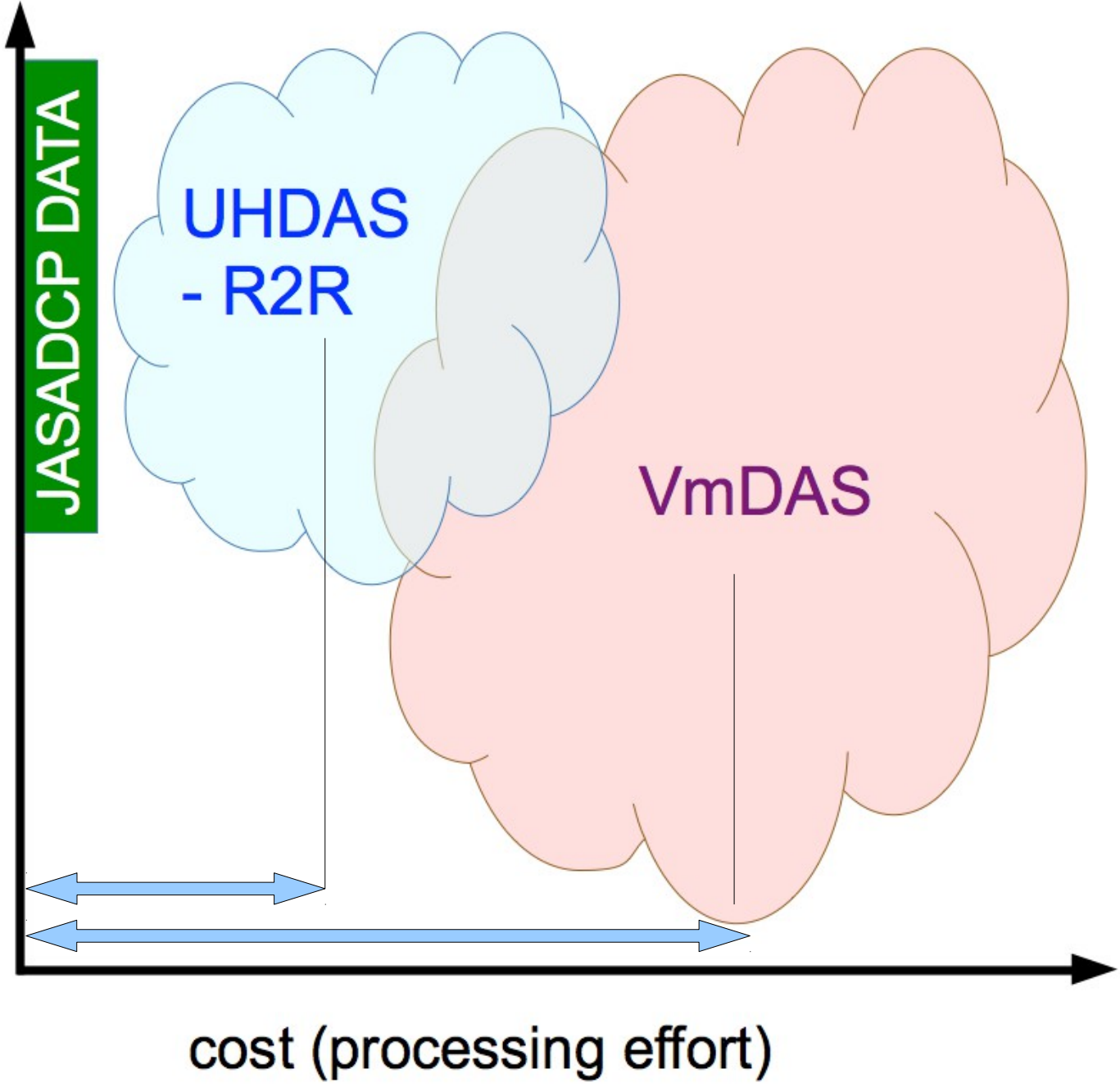
# UHDAS vs/ VmDAS data quality and processing effort

**JASADCP:**  
science-ready  
shipboard ADCP  
ocean currents

potential  
benefit  
to  
science

UHDAS designed to:

- maximize raw data quality
- reduce total processing effort



# CODAS data and products

## **At sea:**

- **example web page at sea** (James Cook Oct 31,2019)
  - figures for operations and science at sea
  - netCDF data files for science
  - complete CODAS+UHDAS documentation
- access to data+processing directories
  - matlab data files
  - archive of daily figures

# CODAS data and data products, cont

## **After the cruise:**

For immediate use, cruise directory has

- processing directories
  - matlab data files
  - netCDF file
  - archive of daily figures
  - ready for post-processing
- raw data directories
  - evaluate quality of the ADCP or ancillary data
  - reprocess with different inputs
  - reprocess with different averaging duration

# CODAS data and data products, cont

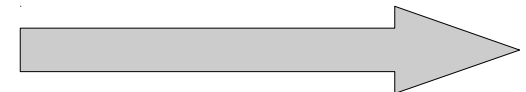
## **After the cruise:**

For future use, cruise directory has

- “reports” directory, with summaries of
  - calibration
  - settings used
  - figures from the cruise
- This is suitable for showing on a web site, to allow exploration of older datasets, and to find “low-hanging fruit”

**example (Atlantis)**

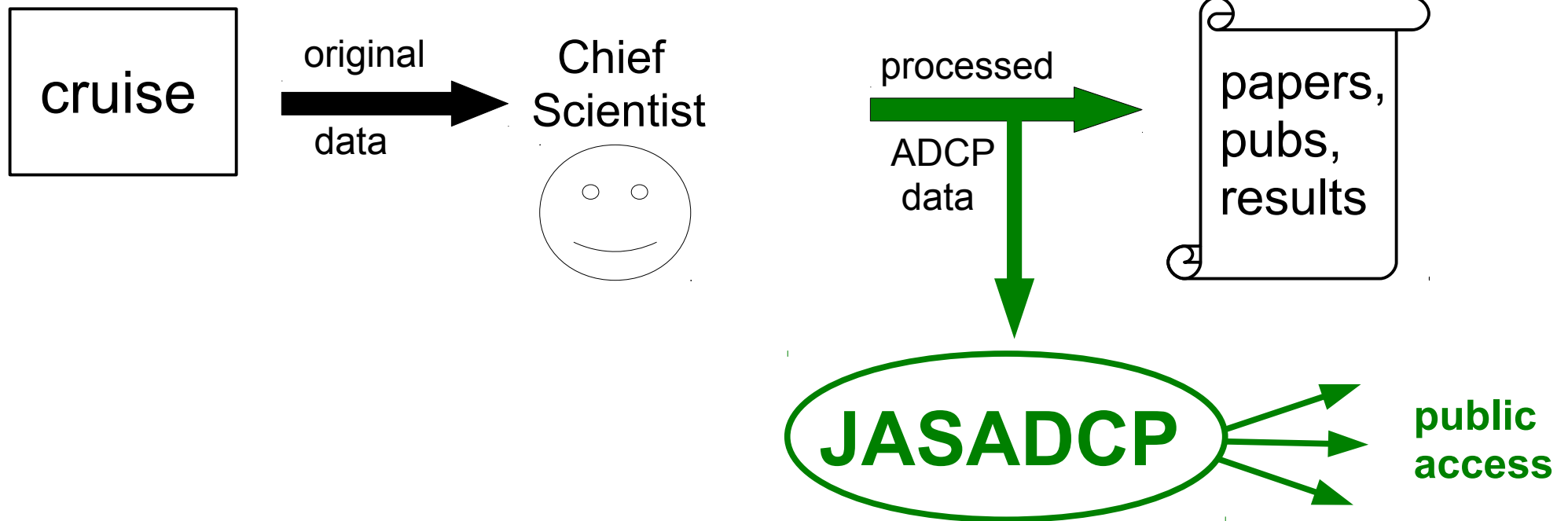
**Archiving and long-term use ...**



# Historically in the U.S.

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## Past and Present



## Joint Archive for Shipboard ADCP

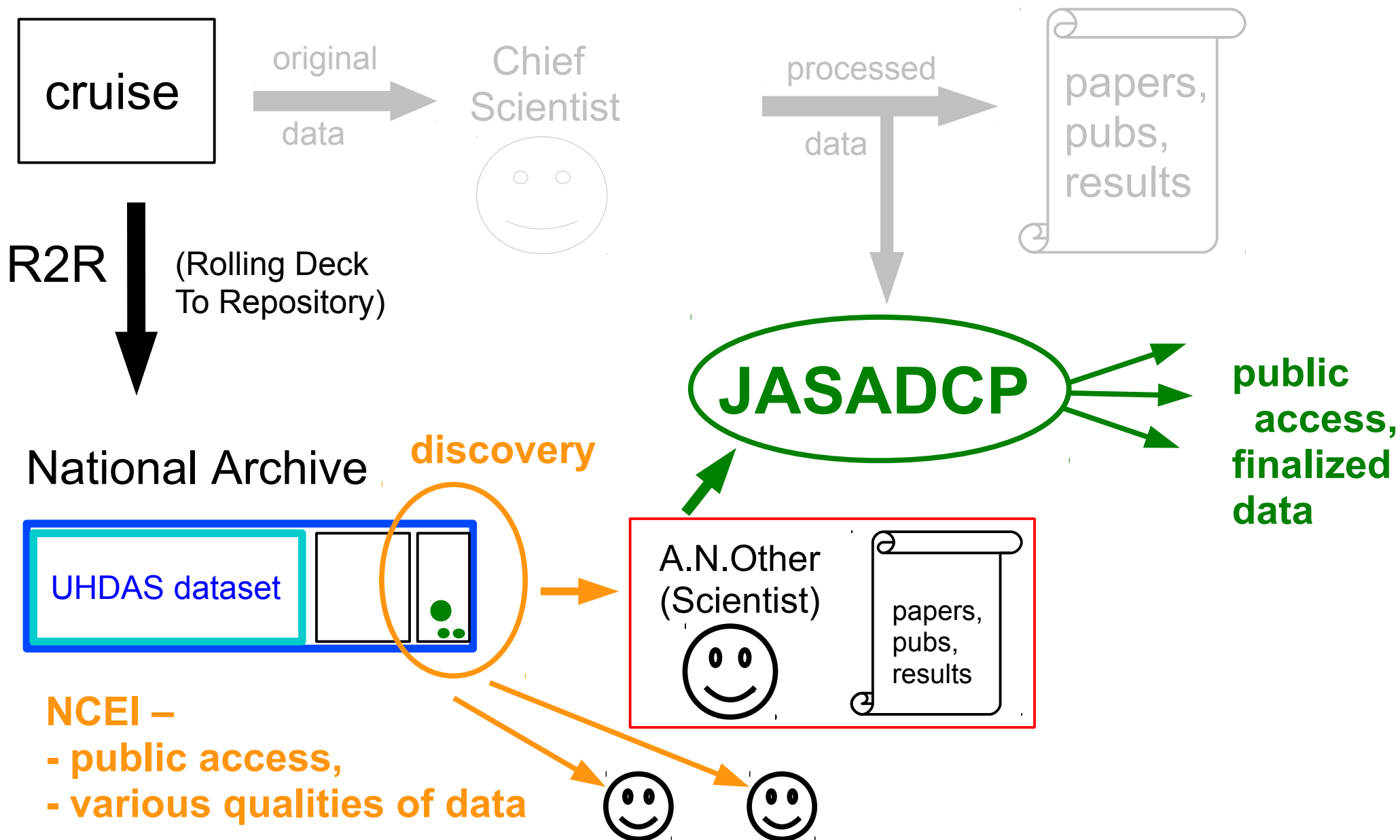
Part of NCEI

Serving science-ready data since 1992.

Over 800 cruises so far...

# Present and Future:

- two paths to finalized public data
- more opportunities for original data to be used



# References

## Drake Passage

- ***Vertical structure and transport of the Antarctic Circumpolar Current in Drake Passage from direct velocity observations***

Journal of Geophysical Research, 116, C08015; 2011; Y. Firing, T. Chereskin, M. Masloff

- ***Mean jets, mesoscale variability and eddy momentum fluxes in the surface layer of the Antarctic Circumpolar Current in Drake Passage***

Journal of Marine Research, 65, 27–58, 2007; Y.-D. Lenn, T. K. Chereskin, J. Sprintall, E. Firing

## Equatorial Pacific

- ***Subthermocline and Intermediate Zonal Currents in the Tropical Pacific Ocean: Paths and Vertical Structure***

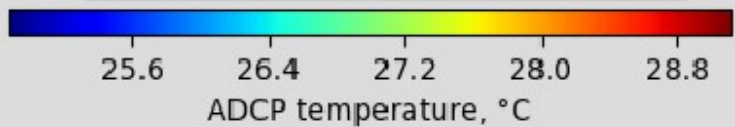
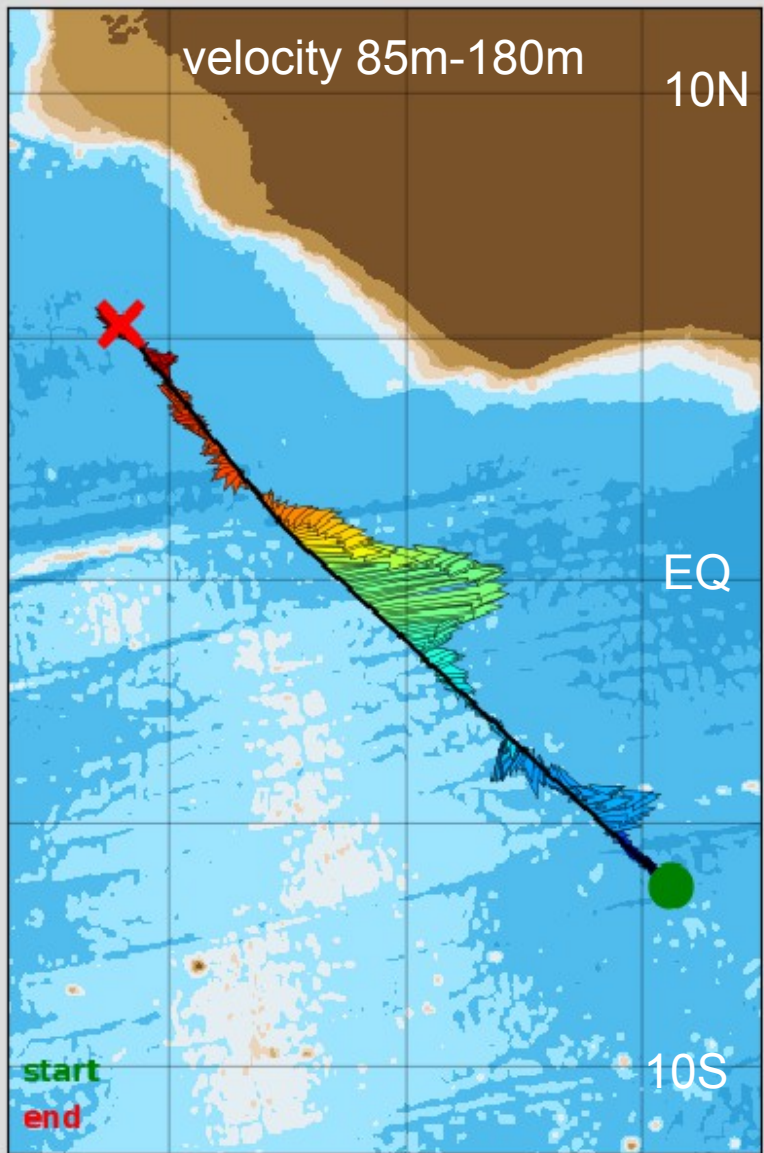
Journal of Physical Oceanography, 47, 2305-2324, 2017; S. Cravatte, E. Kestenare, F. Marin, P. Dutrieux, E. Firing

- ***Annual Reversal of the Equatorial Intermediate Current in the Pacific: Observations and Model Diagnostics***

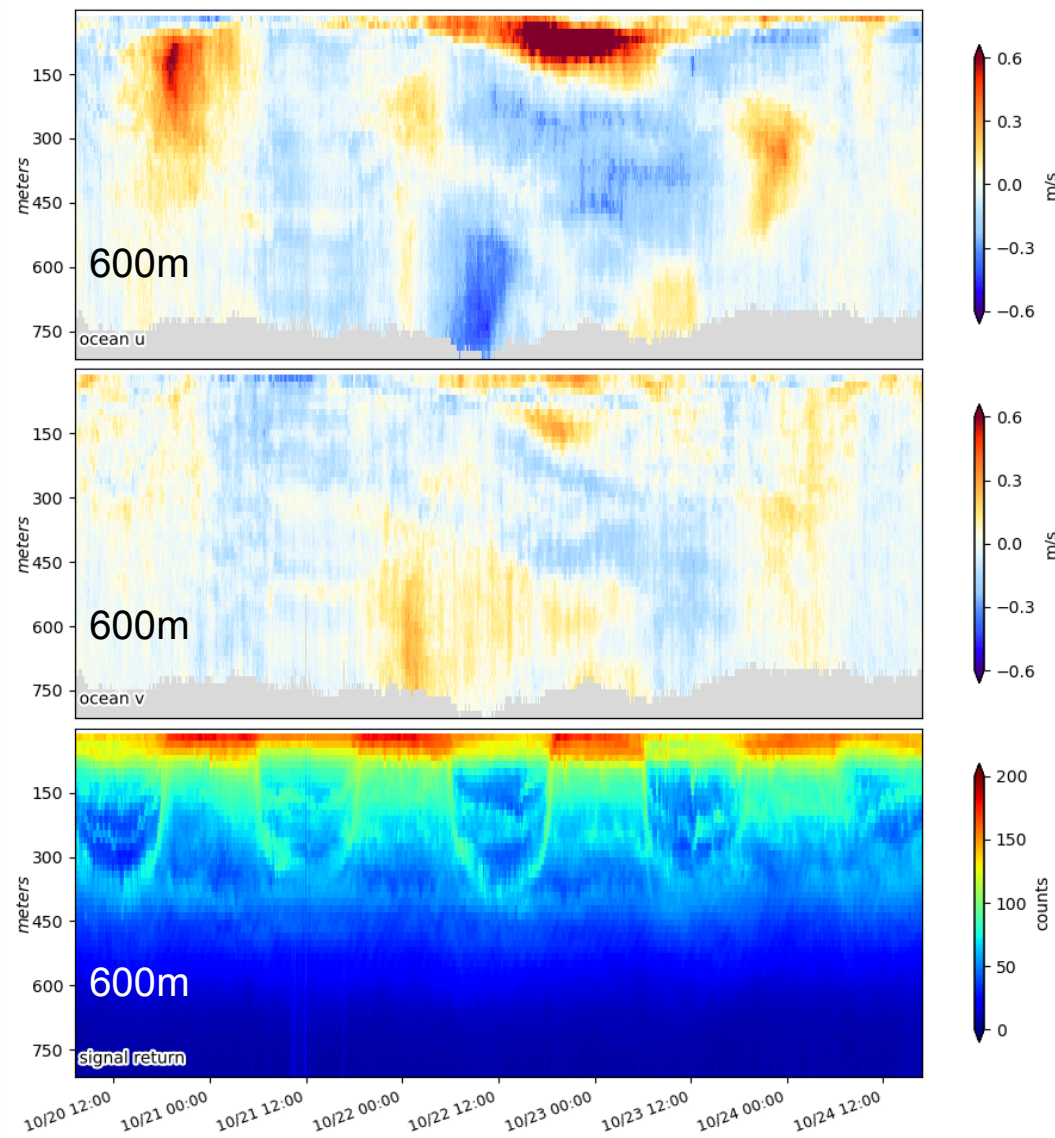
Journal of Physical Oceanography, 40, 915-933, 2010; F. Marin, E. Kestenare, T. Delcroix, F. Durand, S. Cravatte, G. Eldin



0.2 m/s →



# Equatorial Cross-section R/V James Cook Oct 21-24, 2019



break for tea

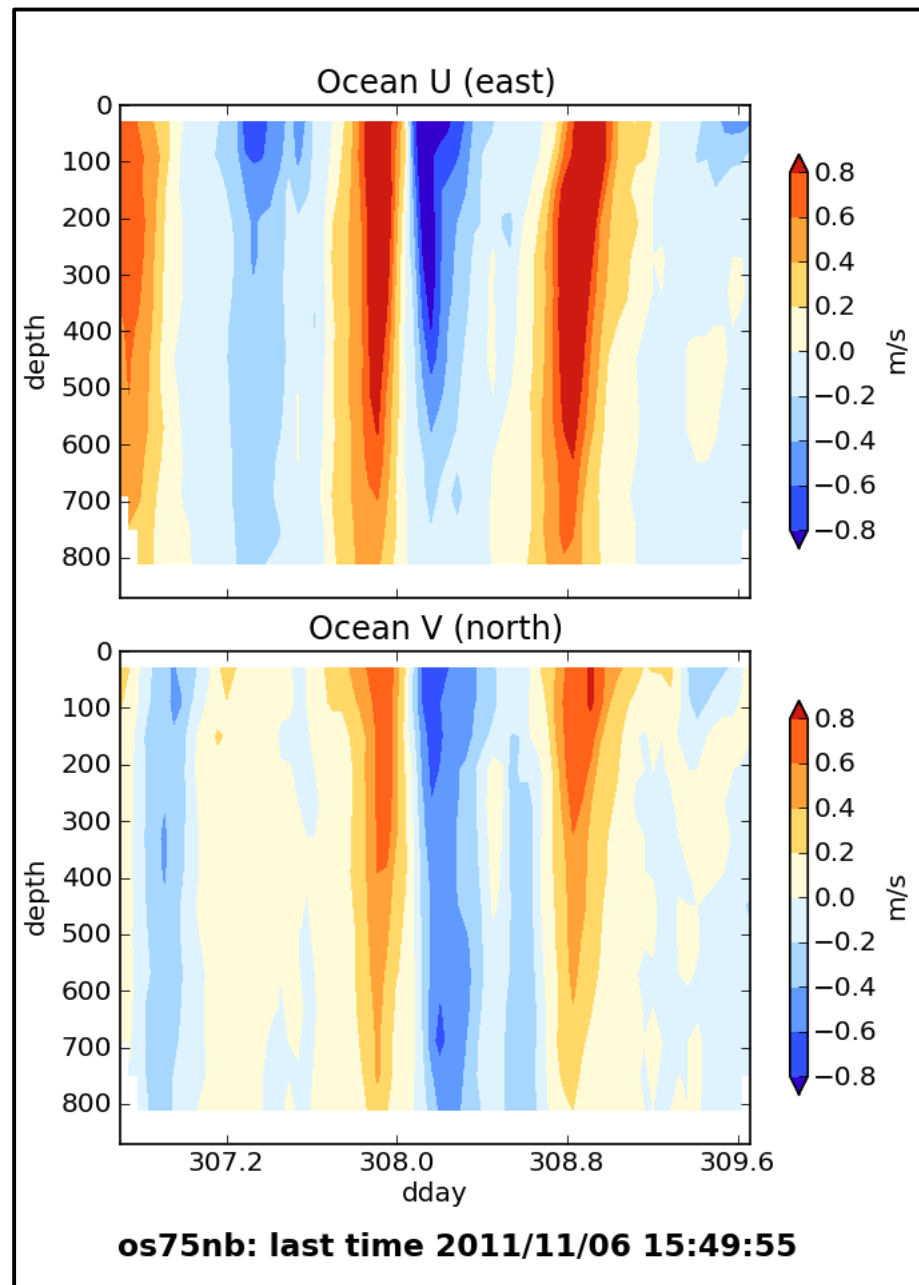
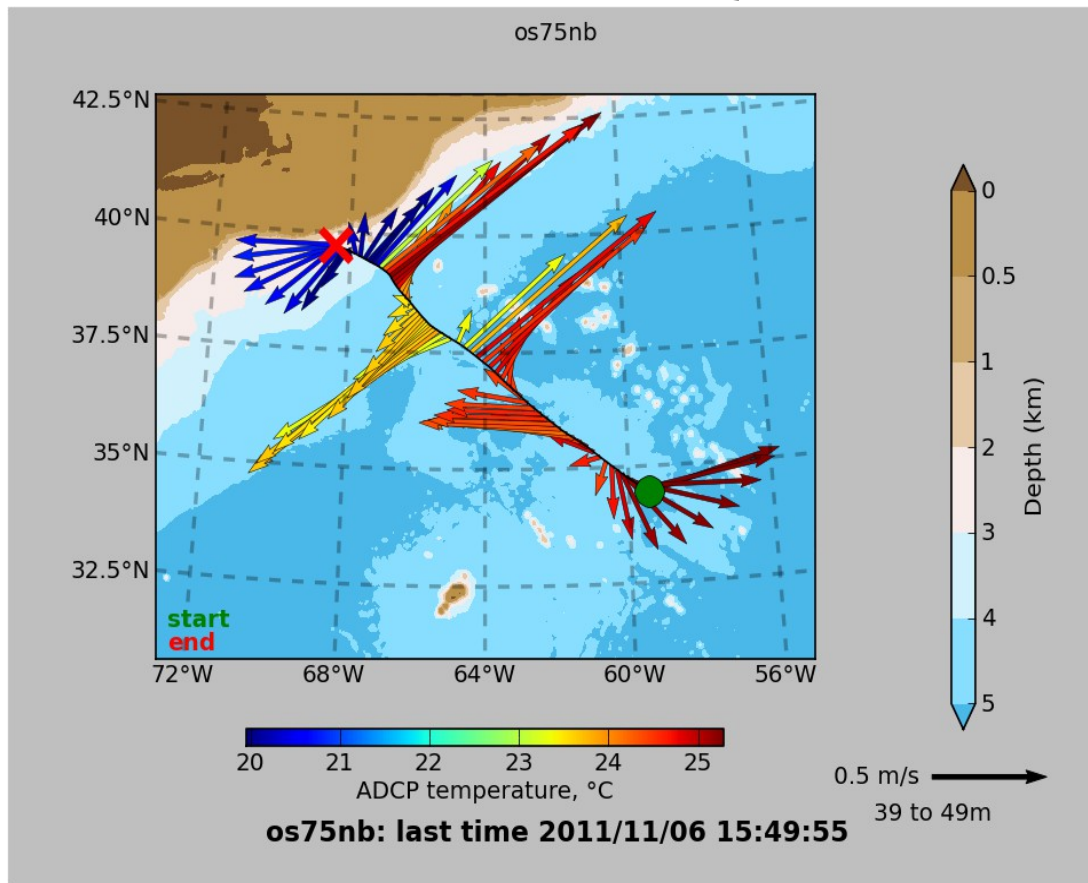
## Part 2:

- CODAS processing
- diagnosing errors in ADCP datasets

# CODAS Processing

Time, ADCP,  
Position,  
Attitude

primitive (raw) data



# CODAS Processing Overview

## CODAS: Common Ocean Data Access System

- Portable (multiple operating systems)
- Self-descriptive (like netCDF)
- Aggregated files (multiple files)
- Designed for ADCP data

“CODAS Processing” → produce ocean velocities

- Tools to access and modify CODAS files

# “CODAS” ADCP Processing

## Goals

- Run on multiple operating systems
  - (Windows, <sup>(\*)</sup>OSX, Linux)
- Open source, free (Python)
- Flexible (tweak, tune, patch, augment)

## Processing

- Written for ADCP data
- Works with most RDI ADCPs ([link](#))
- Balance real-time product with recoverable dataset
- Single-ping (automated) and manual editing
- Calibration diagnostics and routines
- Documented

(\*) via VirtualBox pre-configured Linux computer

CODAS = “**C**ommon **O**cean **D**ata **A**ccess **S**ystem”

# CODAS Processing Supports...

## Python CODAS support

Acquisition program	instrument	ping type	file type (suffix)	Averaged? or raw?
DAS2.48	Narrowband	nb	pingdata	avg
VmDAS	Broadband/ or Workhorse	bb	LTA, STA	avg
			ENR	raw
	Ocean Surveyor	nb	LTA, STA	avg
			ENR(N1R,N2R)	raw
		bb	LTA, STA	avg
			ENR(N1R,N2R)	raw
		nb bb	ENR(N1R,N2R)	raw
UHDAS	NB150,NB300	nb	raw	raw
	Ocean Surveyor	nb	raw	raw
		bb	raw	raw
		nb bb	raw	raw
	WH300	bb	raw	raw

# CODAS processing: 2 modes

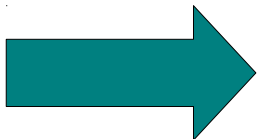
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## (1) process single-ping data

- beam-to-earth coordinates
- single-ping editing (acoustic interference, bottom)
- create averages; save to disk
- load averages into CODAS database

## (2) load averaged data into CODAS database

- 1980's PINGDATA
  - VmDAS: \*.LTA, \*.STA
- } (no single-ping editing)



Next: “post-processing steps”



# CODAS post-processing:

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- View figures and logfiles
- Fix heading:
  - patch gappy but accurate heading correction (if relevant)
  - apply time-dependent heading correction
- Determine corrections/calibrations, then apply
  - remaining transducer offset
  - scale factor (if relevant)
  - transducer-GPS offset (in meters)
- Manually edit out bad data ([dataviewer.py tutorial](#))
  - graphically select bins, profiles
  - using thresholds
- check calibrations
- make figures ([web page](#)); export data (matlab, netCDF)

# CODAS software tools:

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- **Tools for or raw (single-ping) ADCP data:**

- visualization of beam values

- RSSI (signal return)
- beam velocity

- estimate EA (transducer angle)

RDI  
ADCPs

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- conversion of NMEA strings to “rbin” data files

- N1R, N2R, N3R (from VmDAS)
- UHDAS raw serial data

- tools to plot rbin data:

- plot POSMV quality
- plot navigation over topography
- plot one (or compare two) rbin data streams

UHDAS  
data  
products

# CODAS Processing

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- Editing (single-ping)
  - Acoustic interference
  - Bubbles
  - Below bottom
- Editing CODAS database averages ([dataviewer.py](#))
- Interpolate missing heading correction
- Apply calibrations ([patch\\_hcorr.py](#))
  - Scale factor
  - Rotation
  - Transducer offset (new)

# CODAS Processing

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- Editing (single-ping)
  - Acoustic interference
  - Bubbles
  - Below bottom

BEFORE AVERAGING

- 
- Editing CODAS database averages “gee-autoedit”
  - Interpolate missing heading correction
  - Apply calibrations
    - Scale factor
    - Rotation
    - Transducer offset (uncommon/experimental)

# (I) ADCP: Getting Ocean Velocity

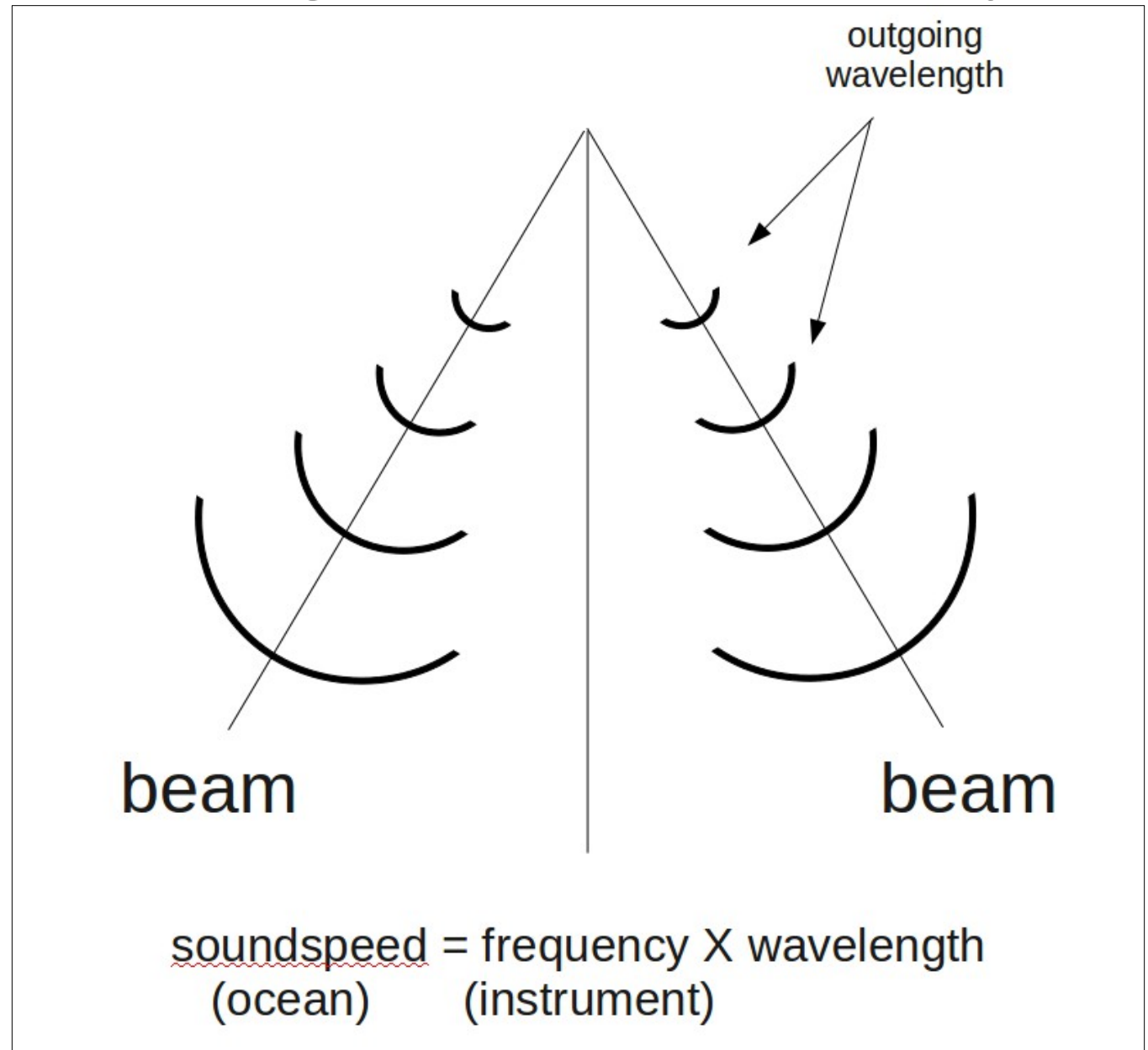
**ADCP :**

**Acoustic**

**Doppler**

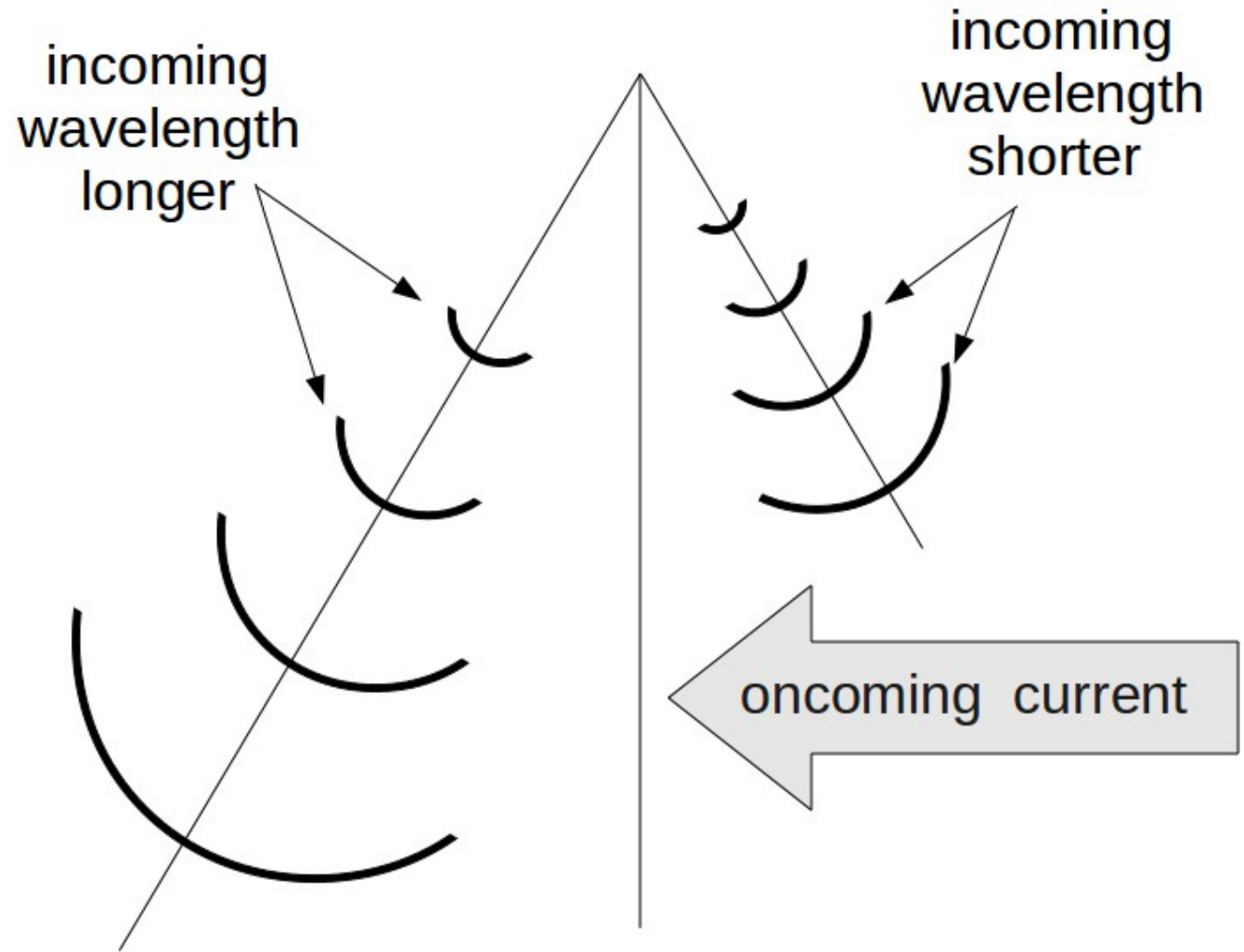
**Current**

**Profiler**



# ADCP:

Acoustic  
Doppler  
Current  
Profiler



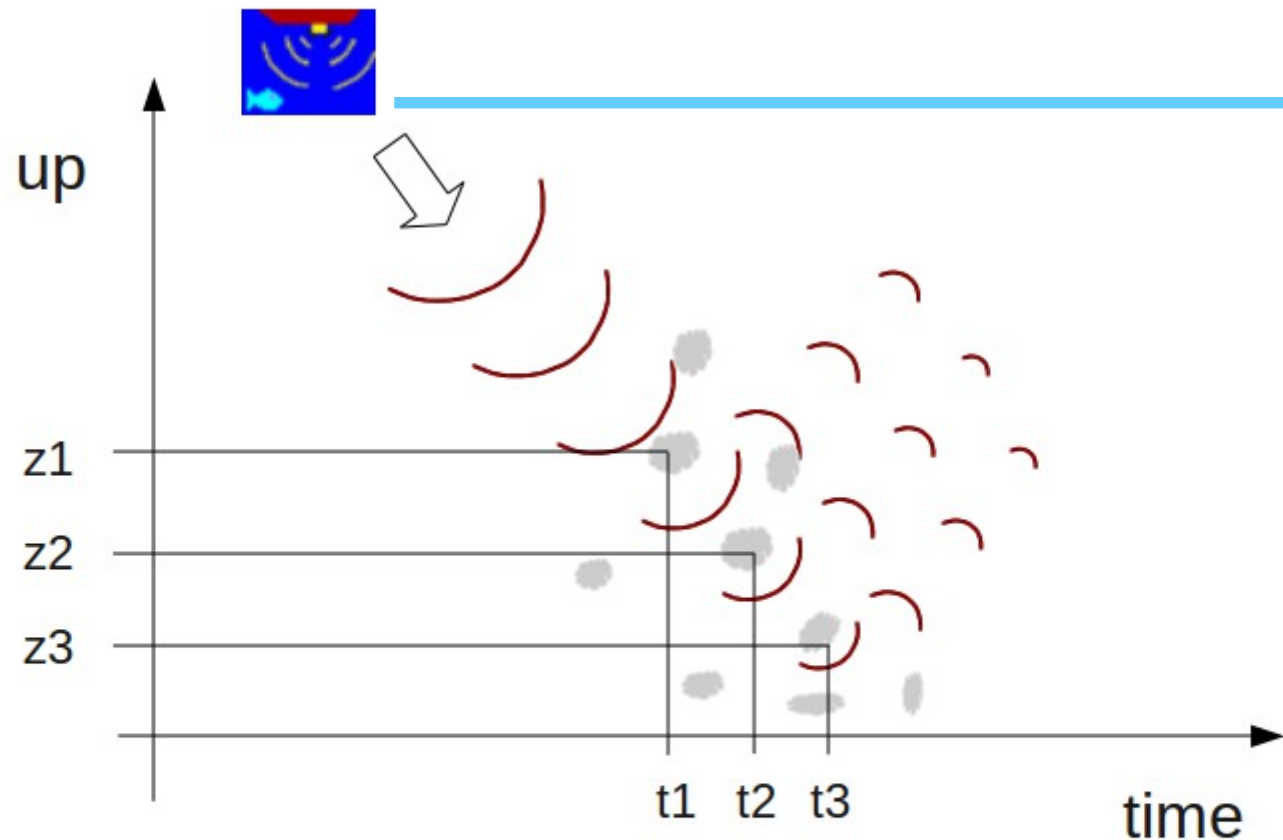
lower frequency

higher frequency

$$\text{soundspeed (ocean)} = \text{frequency (instrument)} \times \text{wavelength}$$

# ADCP:

Acoustic  
Doppler  
Current  
Profiler

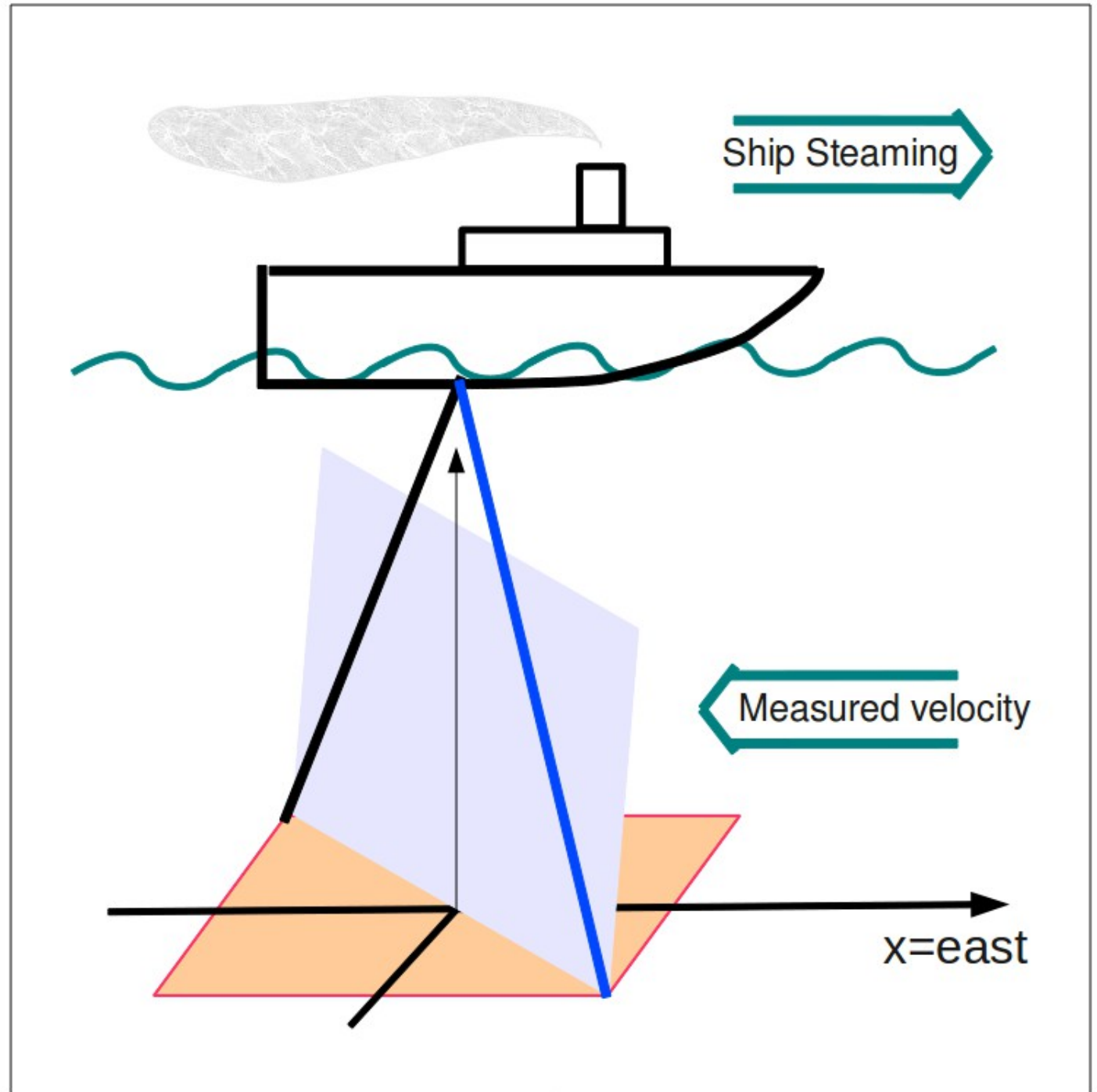


“Gating” the return over time results in “bins” in the vertical, creating a profile of information

# ADCP

Getting Ocean  
Currents

Two opposite  
beams make a  
vertical plane



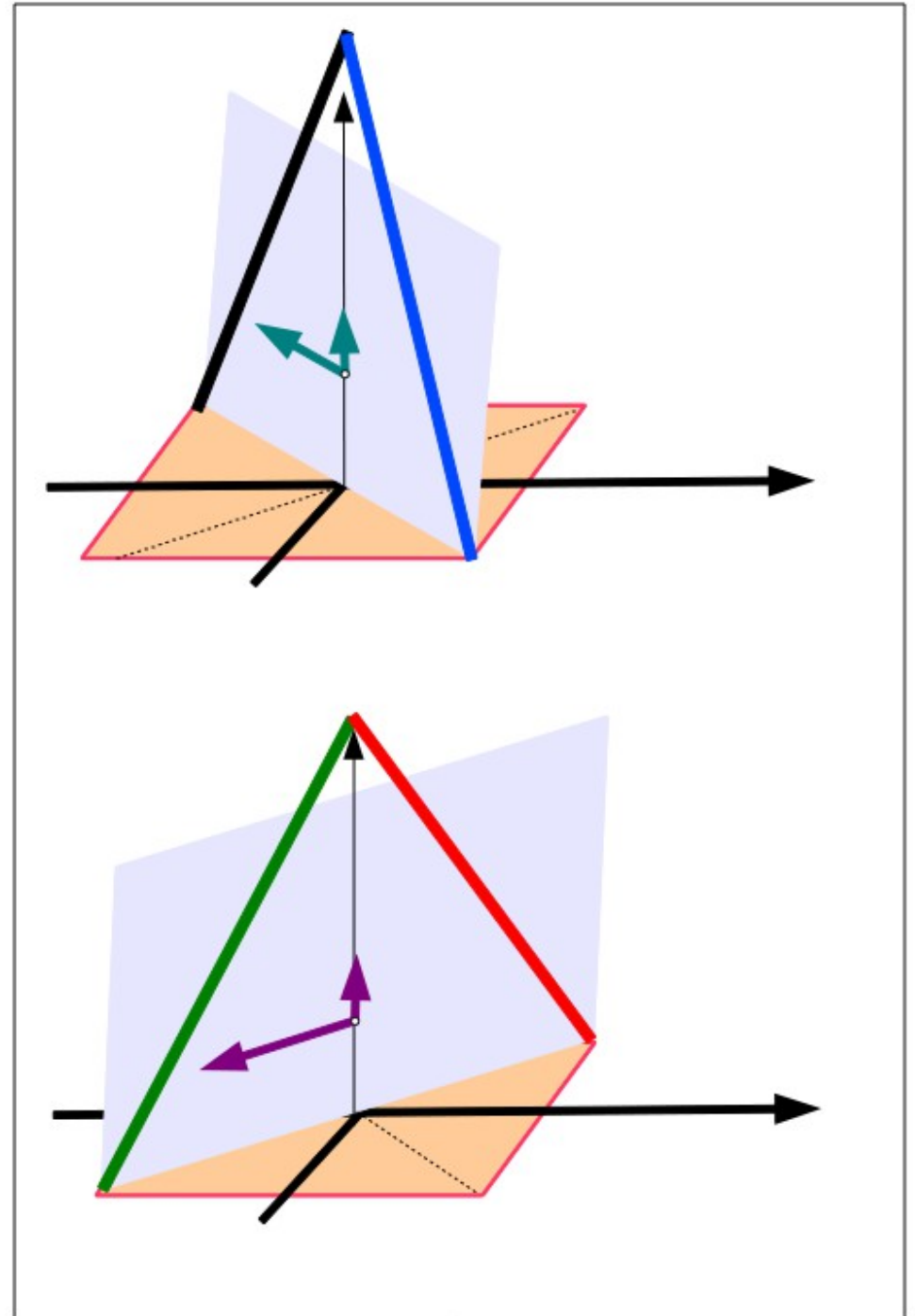


# ADCP:

Getting Ocean  
Currents

Now we see the horizontal and  
vertical velocities on the  
planes

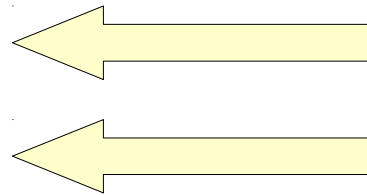
Use the horizontal velocities  
for determining ocean velocities  
requires more steps.



# ADCP Single-ping Editing

The most common causes of error  
(addressed by single-ping editing)

- Acoustic Interference
- Bubbles
- Below bottom



Both tend to cause bias towards zero  
in measured velocity

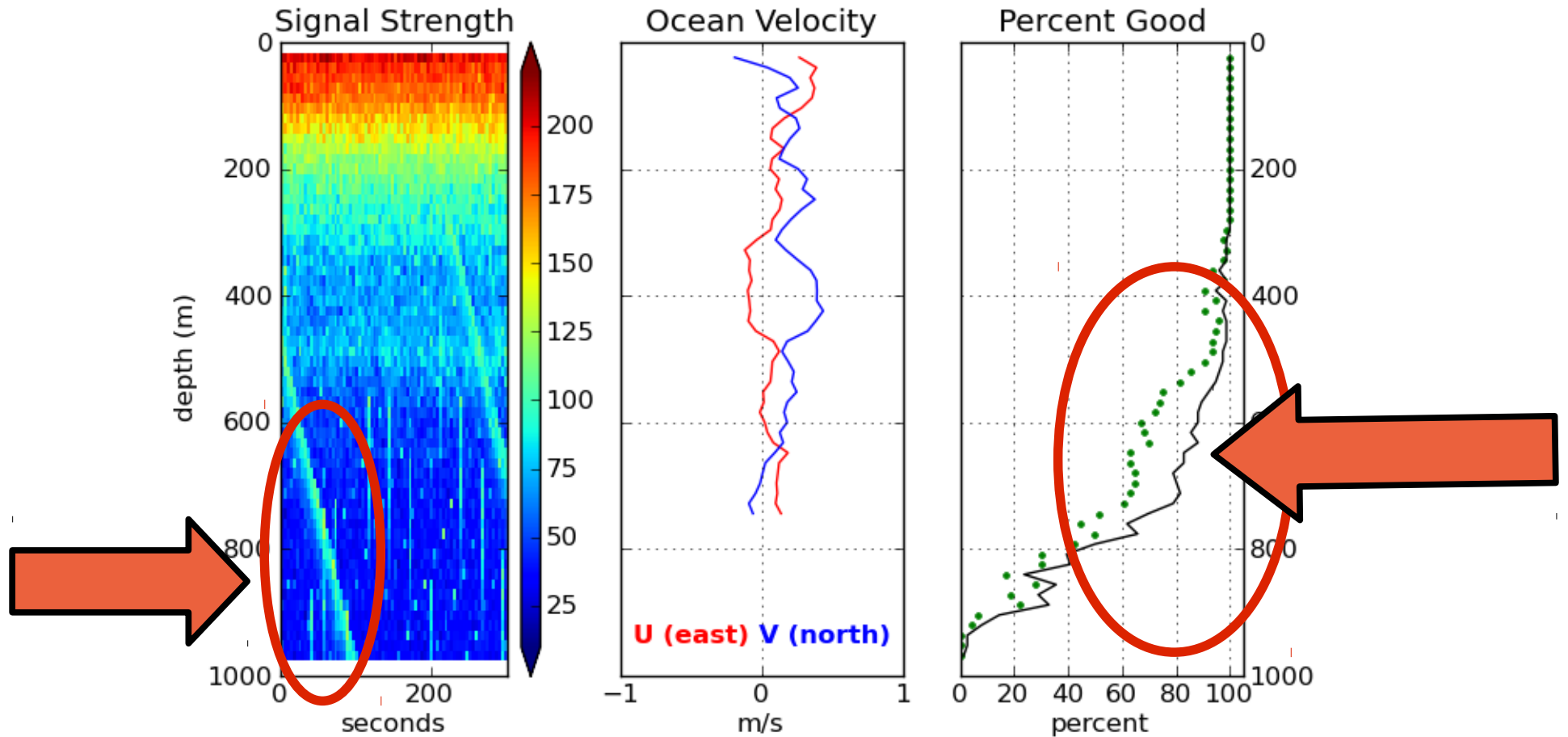
# ADCP Single-ping Editing

The most common causes of error  
(addressed by single-ping editing)

- **Acoustic Interference**
- Bubbles
- Below bottom

# ADCP Processing

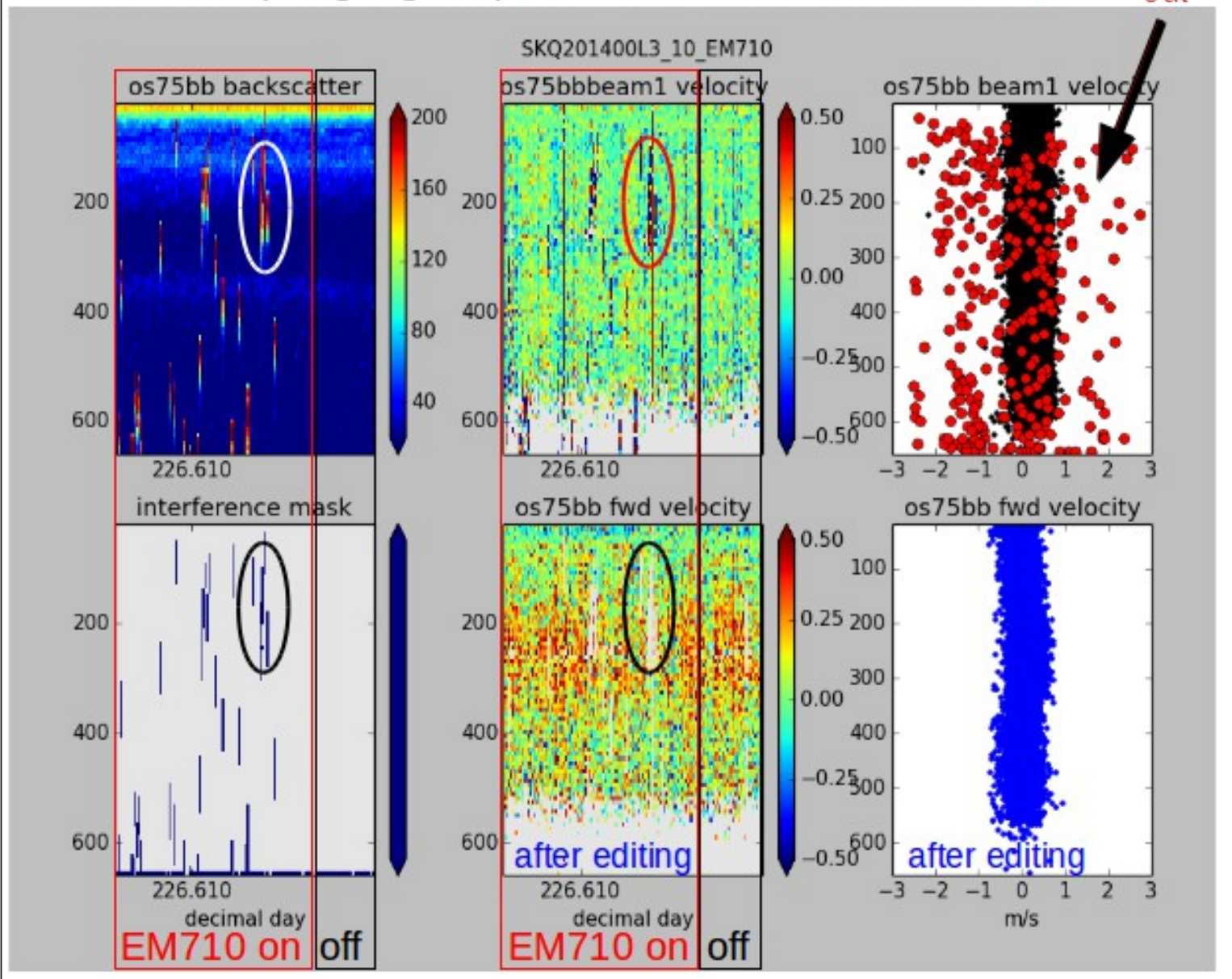
Singleping editing: acoustic interference



# ADCP Processing: editing out interference

EM710 pinging impact on OS75 broadband

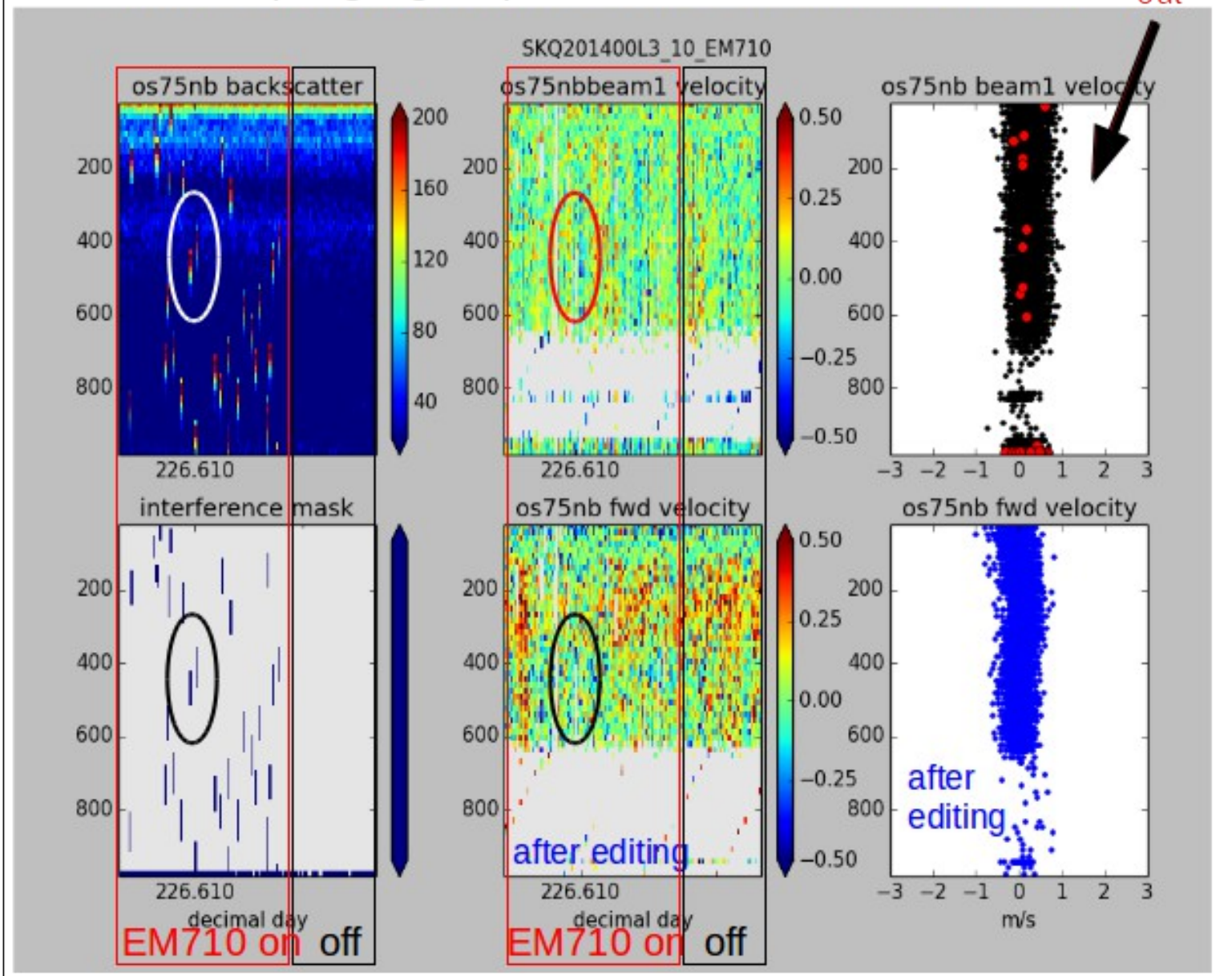
edited  
out



# ADCP Processing: editing out interference

EM710 pinging impact on OS75 narrowband

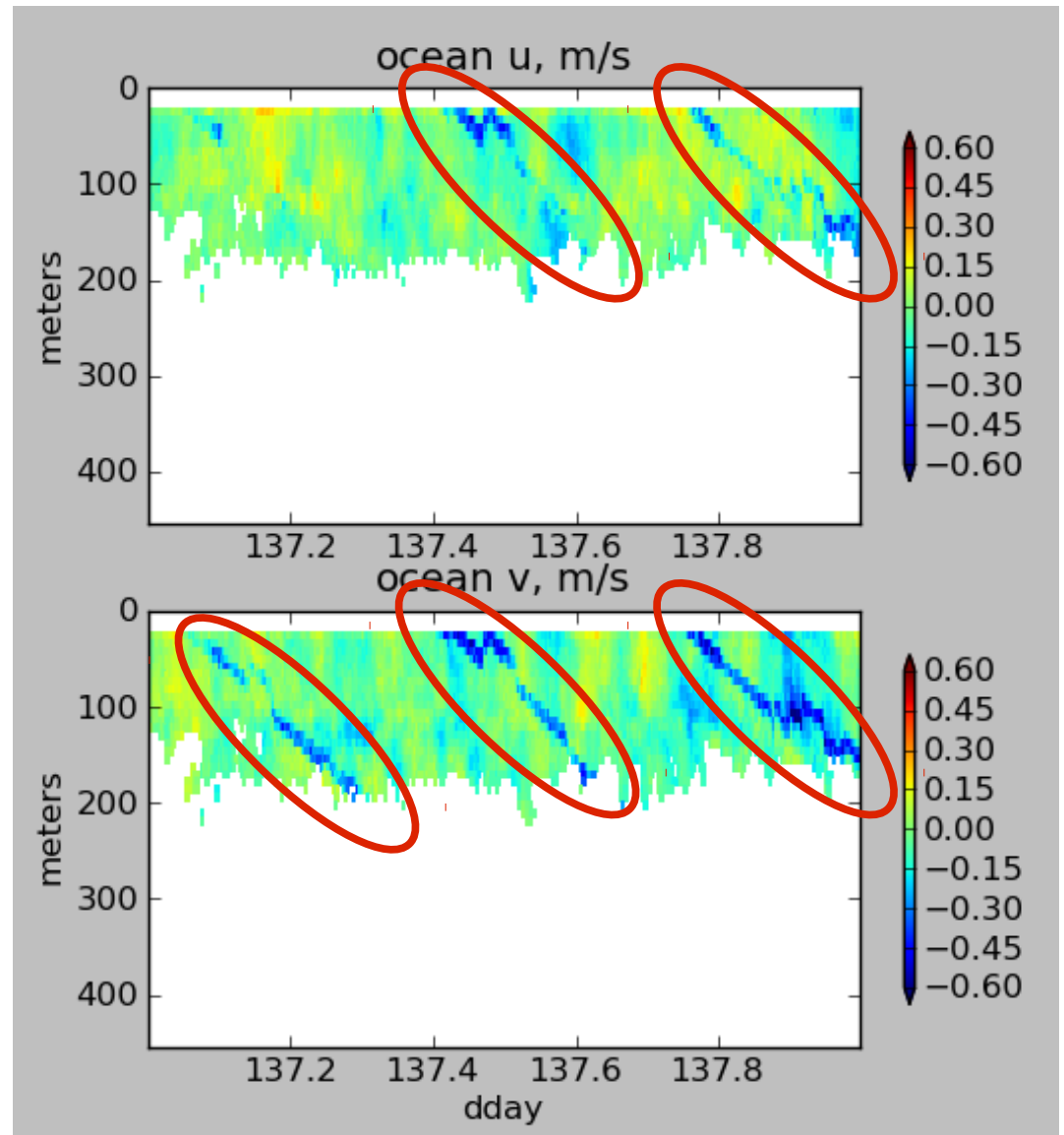
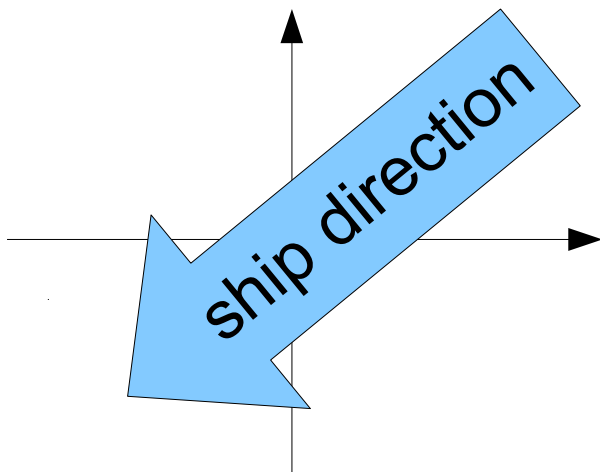
edited out



# ADCP Processing **without** singleping editing

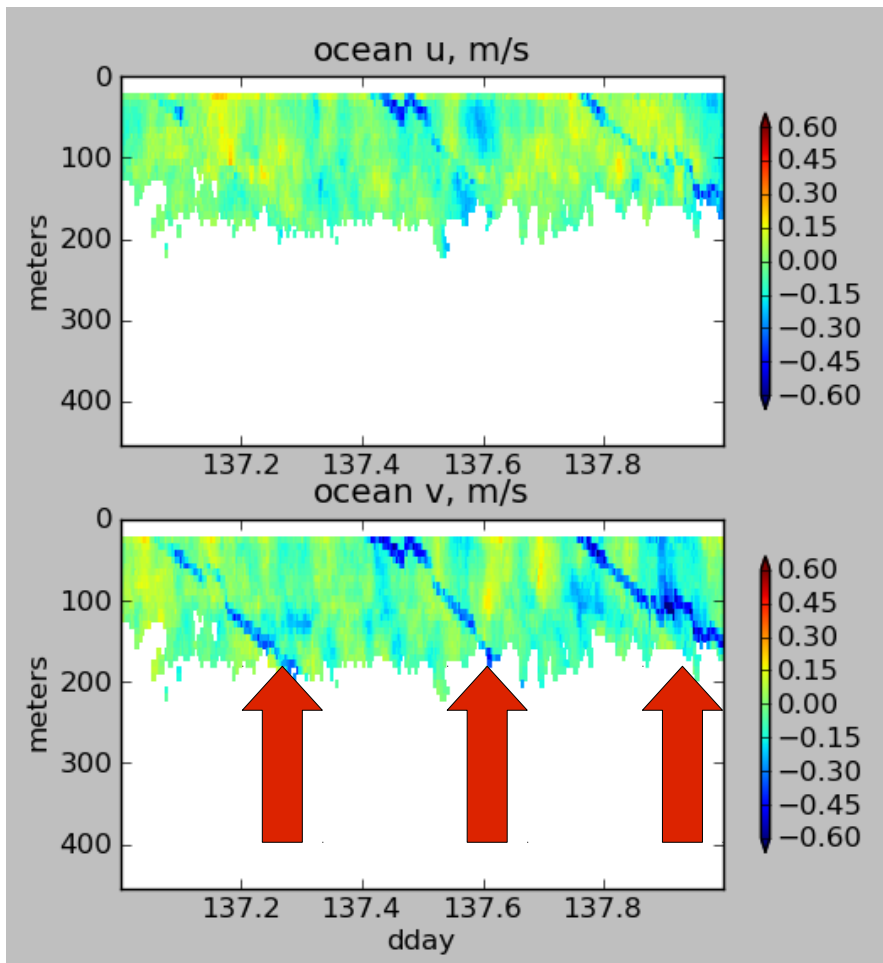
Averaged  
ocean velocities

NOTE: along-track  
direction bias

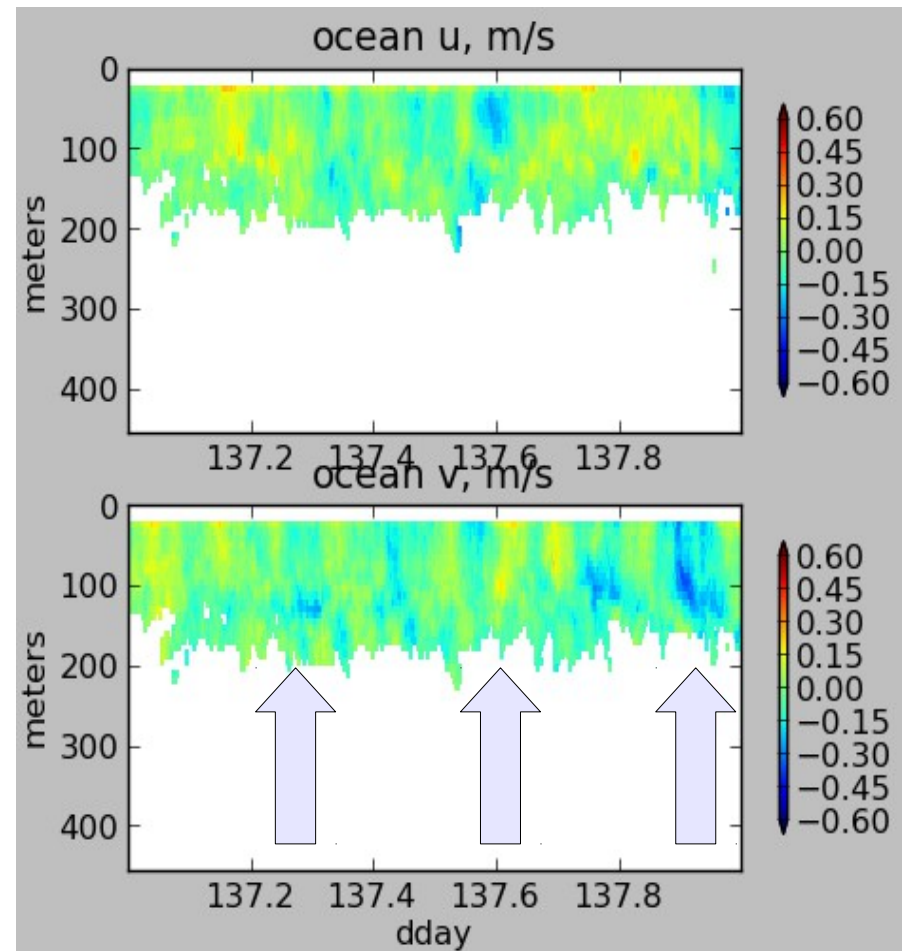


# ADCP Processing: acoustic interference

WITHOUT  
singleping editing



USING  
singleping editing



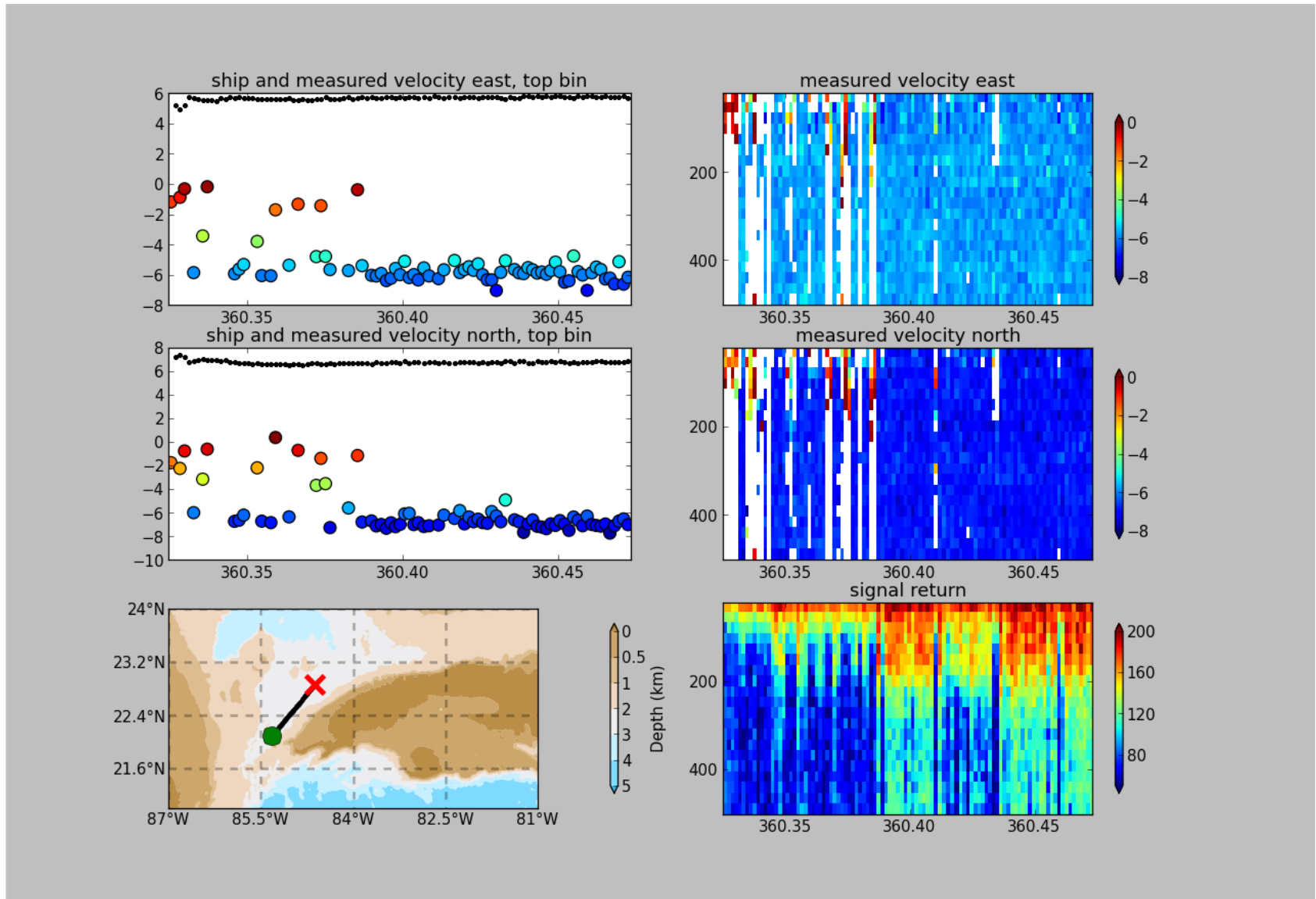


# ADCP Single-ping Editing

The most common causes of error  
(addressed by single-ping editing)

- Acoustic Interference
- **Bubbles**
- Below bottom

# single-ping editing: underway bias



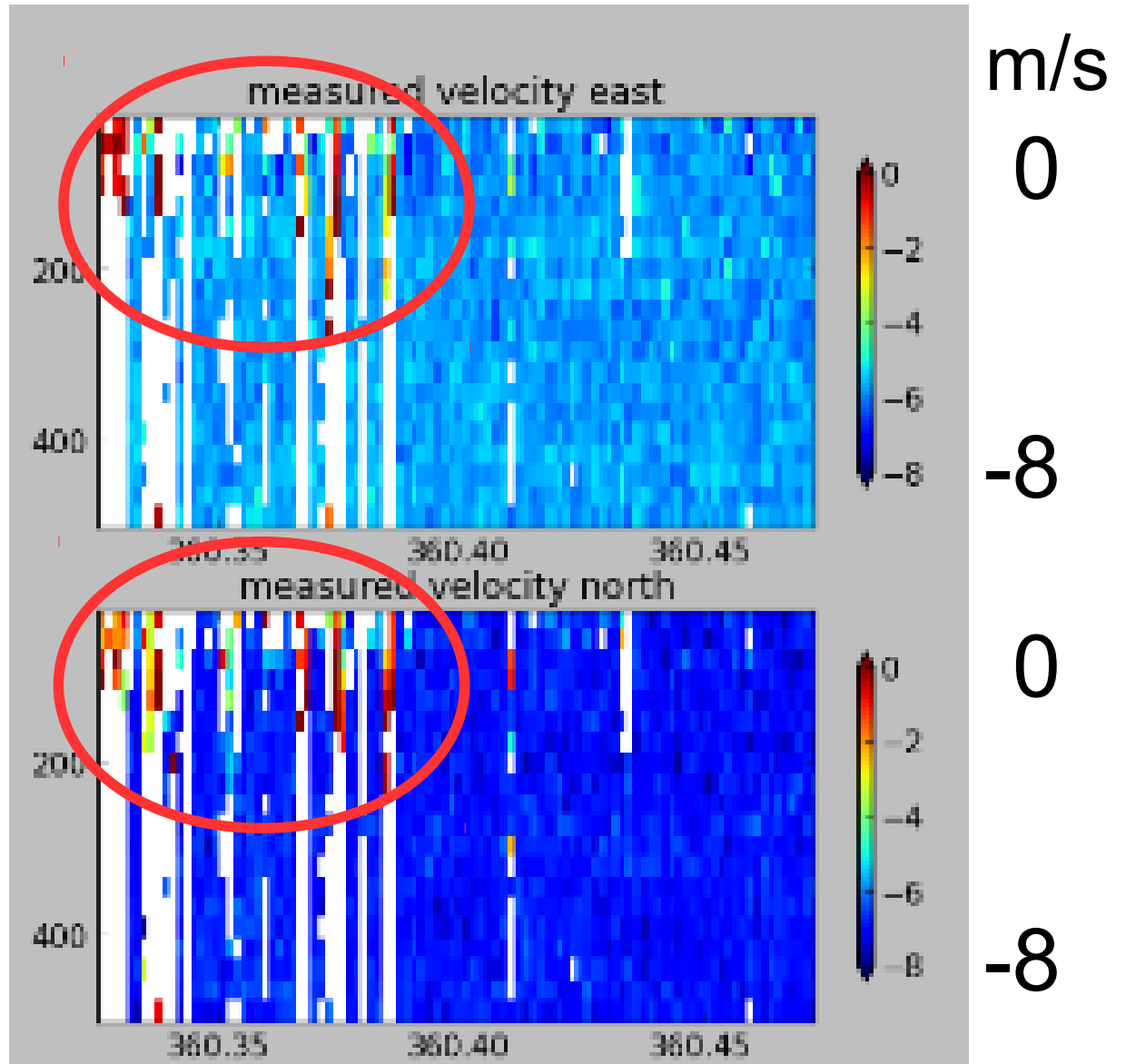
# ADCP Data: effect of bubbles

## Bubbles:

- short profiles
- strongly biased towards zero

## Untreated:

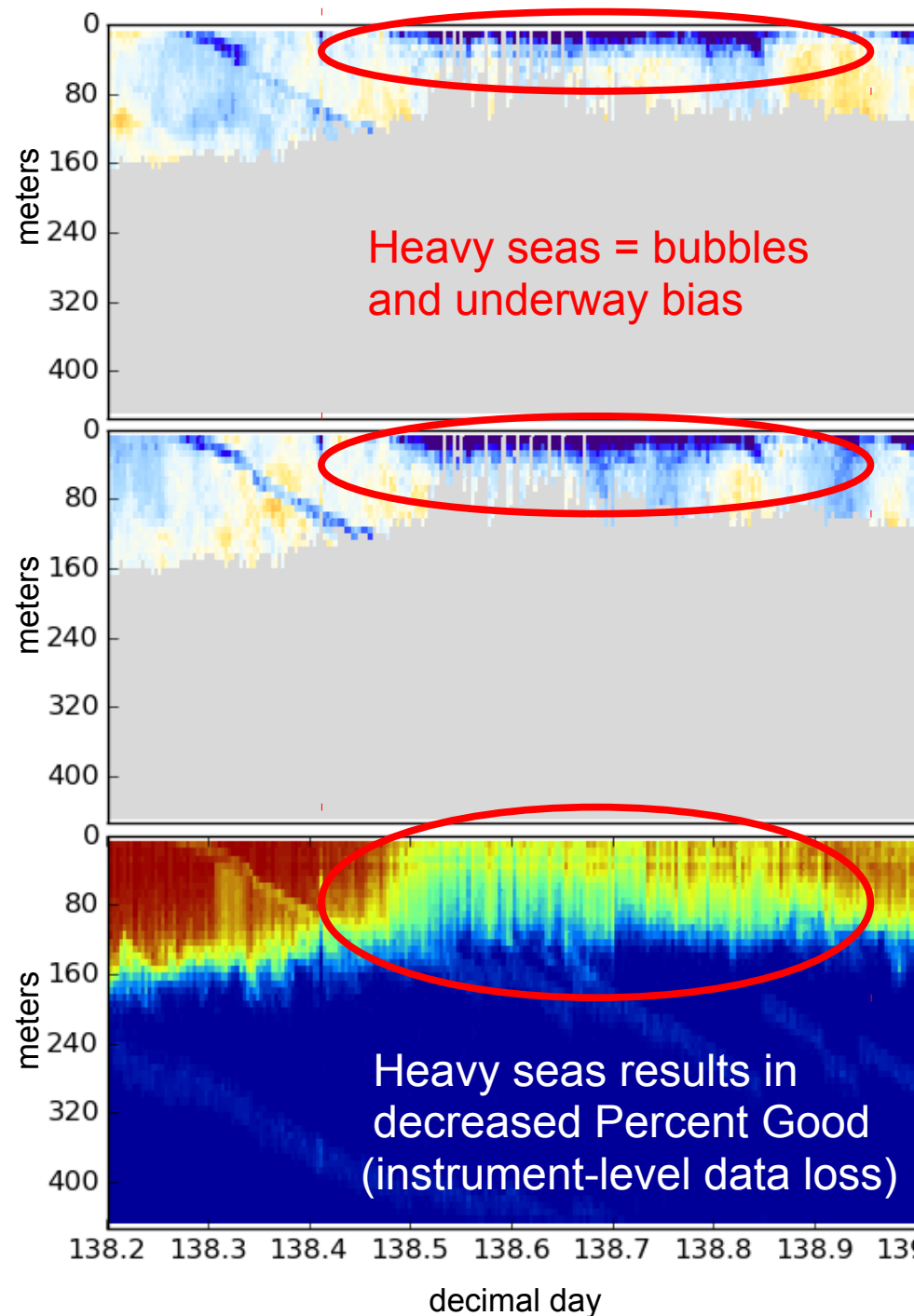
- biased ocean velocities



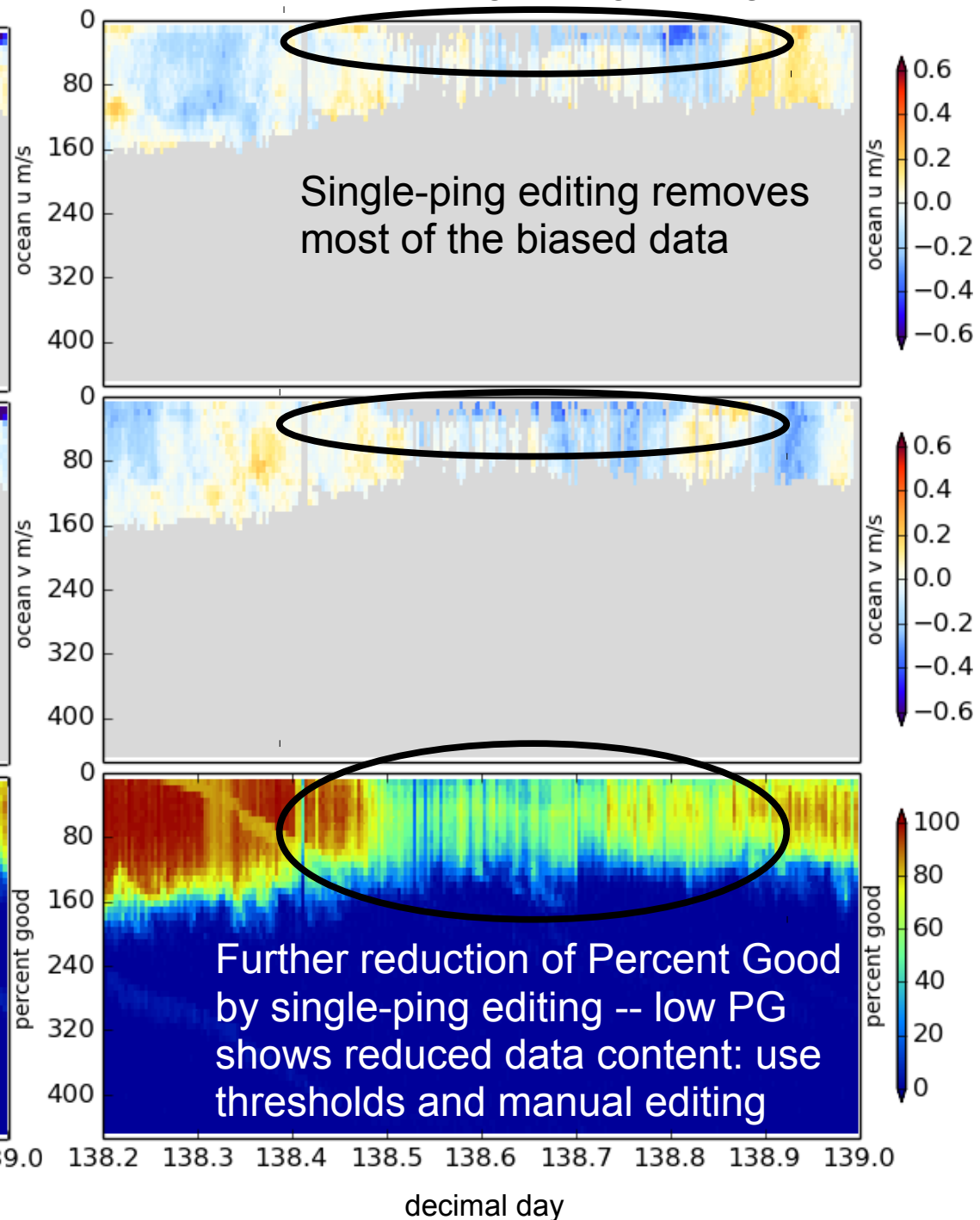


# Bubbles and alongtrack bias

NO single-ping editing



AFTER single-ping editing



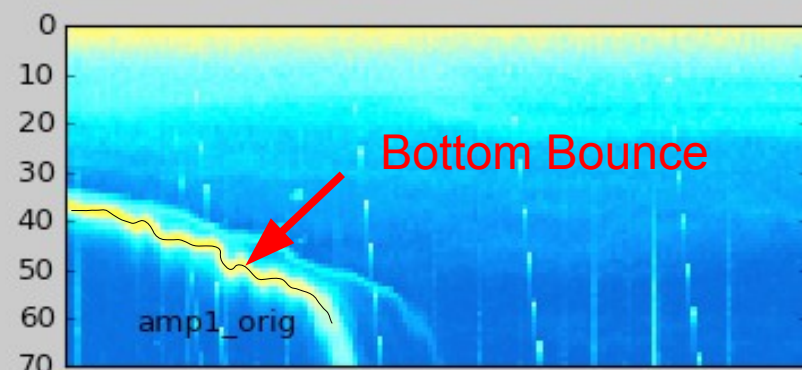
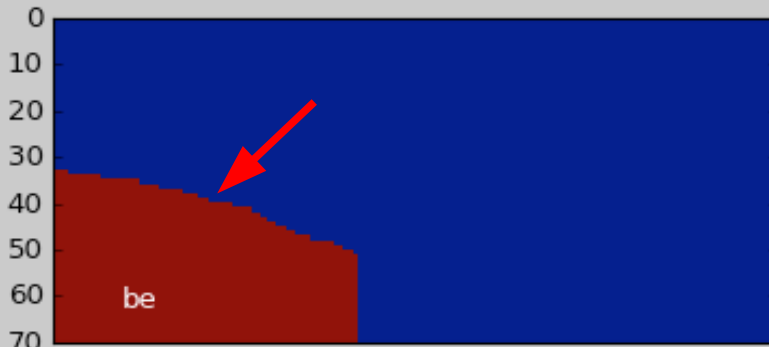
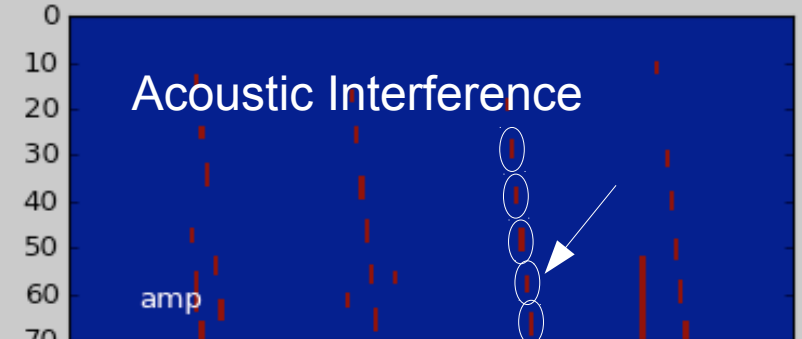
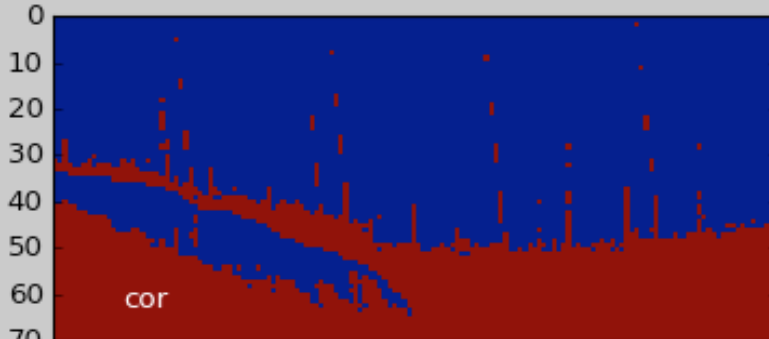
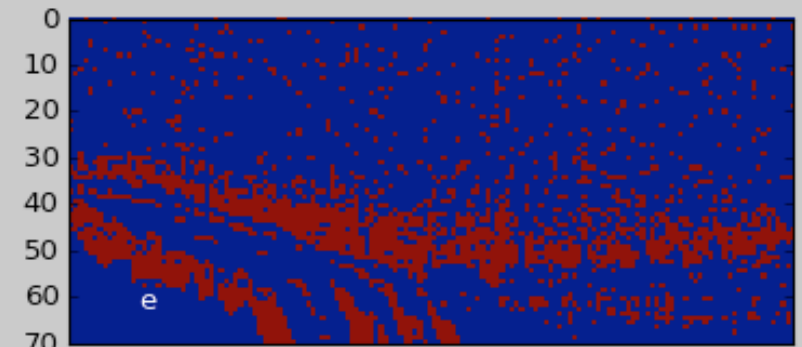
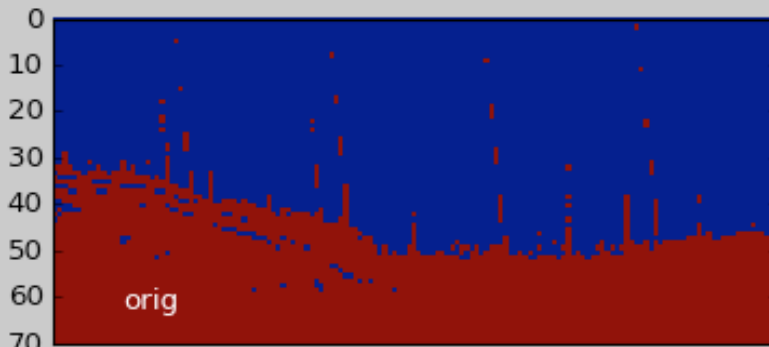
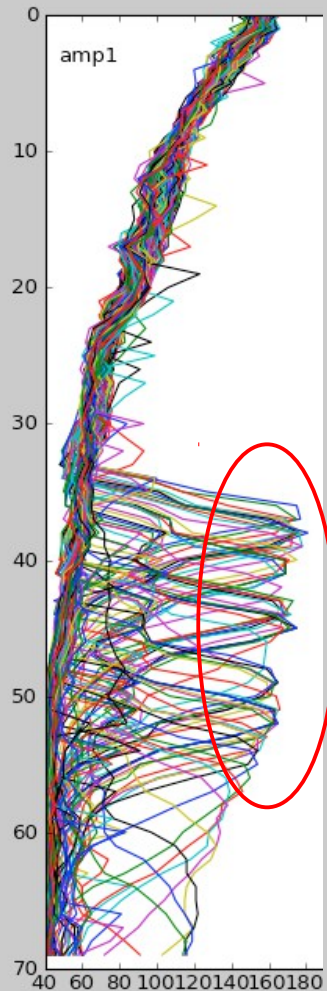
# ADCP Single-ping Editing

The most common causes of error  
(addressed by single-ping editing)

- Acoustic Interference
- Bubbles
- **Below bottom**

## Bottom Editing:

- remove acoustic interference, identify maximum amplitude
- calculate region of side-lobe interference
- flag as BAD all data below the bottom or with side-lobe interference



# CODAS Postprocessing

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- Editing (single-ping)
  - Acoustic interference
  - Bubbles
  - Below bottom

AFTER AVERAGING



- Interpolate missing heading correction
- Apply calibrations
  - Rotation
  - Scale factor
  - Transducer offset (new)
- Manually edit CODAS database averages “**gee-autoedit**”



# CODAS Postprocessing

---

- Editing (single-ping)
  - Acoustic interference
  - Bubbles
  - Below bottom

UHDAS processing demo



• **Interpolate missing heading correction**

- Apply calibrations
  - Rotation
  - Scale factor
  - Transducer offset (new)
- Manually edit CODAS database averages “gee-autoedit”

# CODAS Postprocessing

---

- Editing (single-ping)
  - Acoustic interference
  - Bubbles
  - Below bottom
- Interpolate missing heading correction
- **Apply calibrations**
  - Rotation
  - Scale factor
  - Transducer offset (new)
- Manually edit CODAS database averages “gee-autoedit”

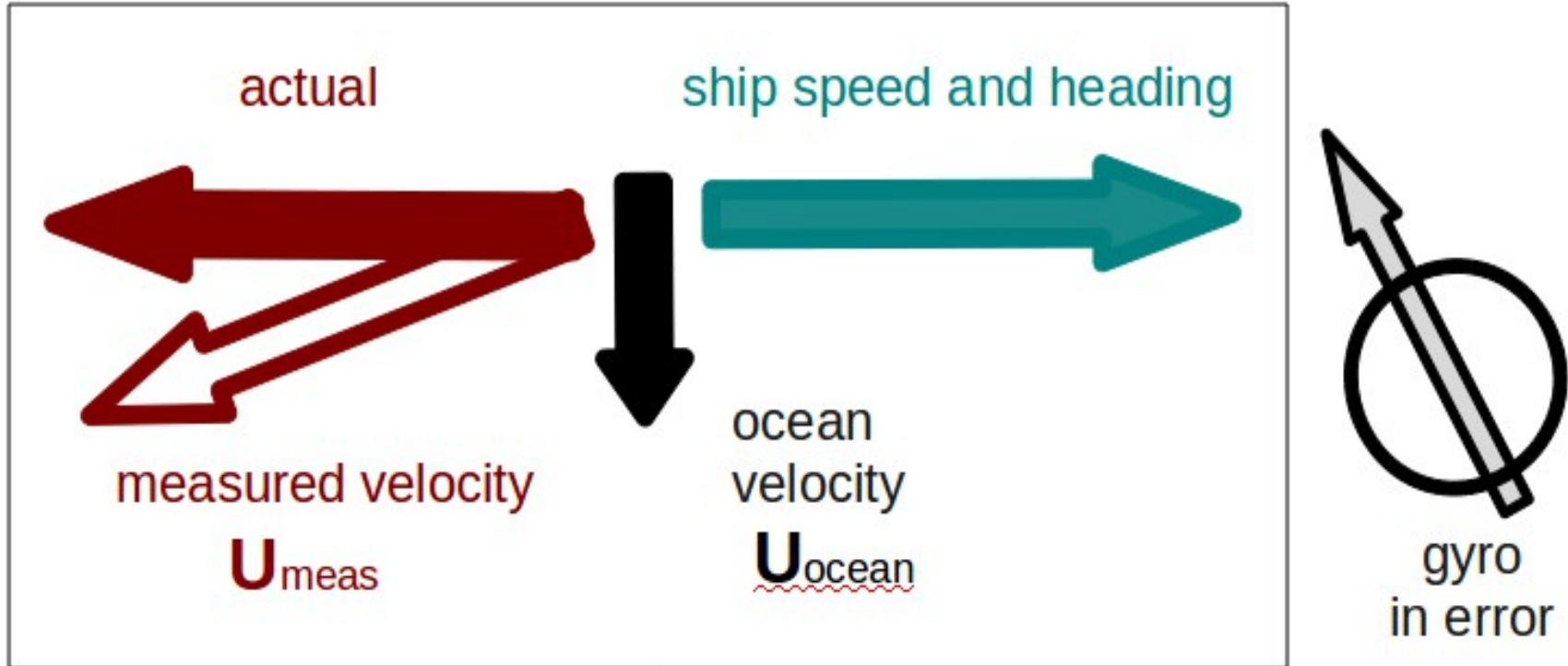
# CODAS Processing: Calibration

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- Calibration of averaged data:
  - Cross-track error (angle error)
    - Incorrect transducer angle (constant)
    - Inaccurate heading (time-varying)
  - Alongtrack bias (scale factor)
    - Soundspeed (single-ceramic transducers only)
  - Transition Error
    - Horizontal offset between GPS and ADCP

# Calibration: Angle Error

Cross-track bias in ocean velocity from angle error:  
(heading + transducer angle)



# Symptom = Cross-Track Error

## Cause = incorrect **angle applied**

**Angle applied** comes from

- Transducer angle (beam “3” clockwise from bow)
- Heading of ship
  - **VmDAS**,
    - “Primary” heading, often no QC message
    - If “Primary” fails, replace with “Secondary”
  - **UHDAS**,
    - Reliable heading for each ping (eg gyro)
    - Heading correction for each averaging period
    - Calculated relative to devices such as Ashtech, POSMV, Seapath, Mahrs, Phins (hopefully with QC fields)

Symptom = Cross-Track Error  
Cause = incorrect **angle applied**

Angle applied comes from

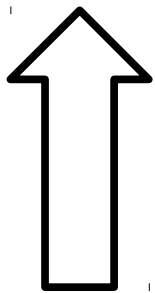
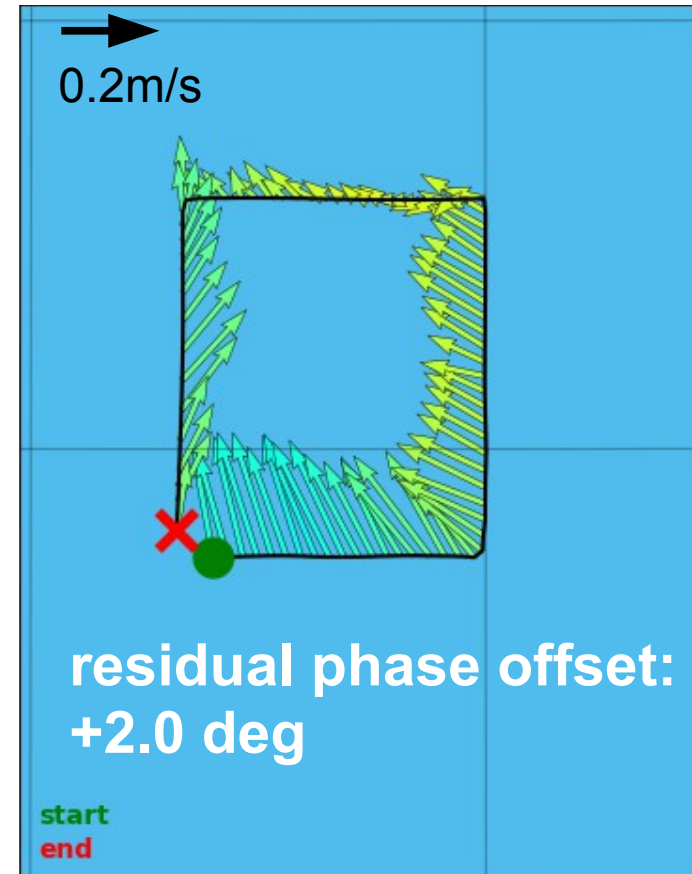
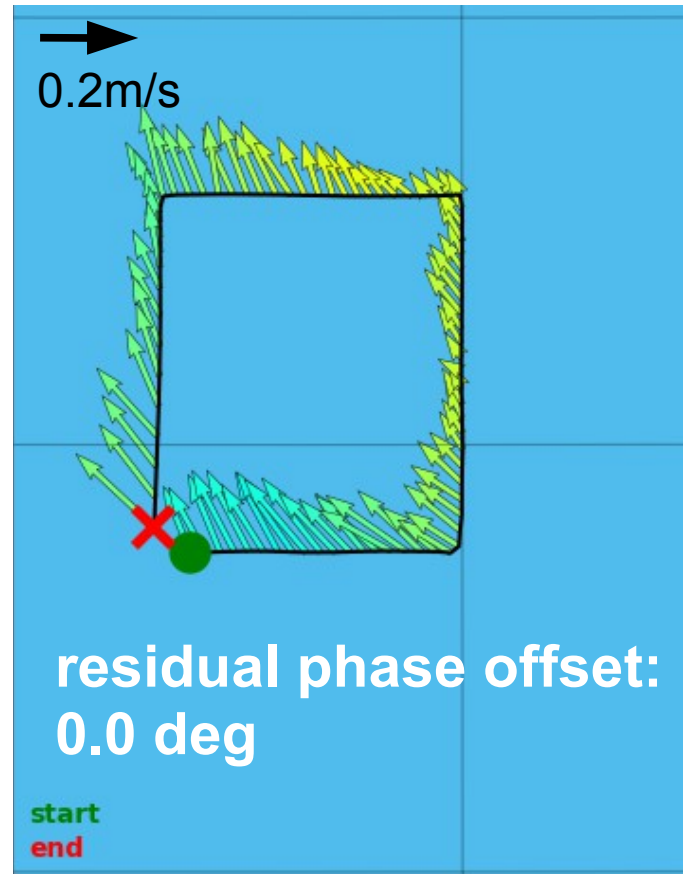
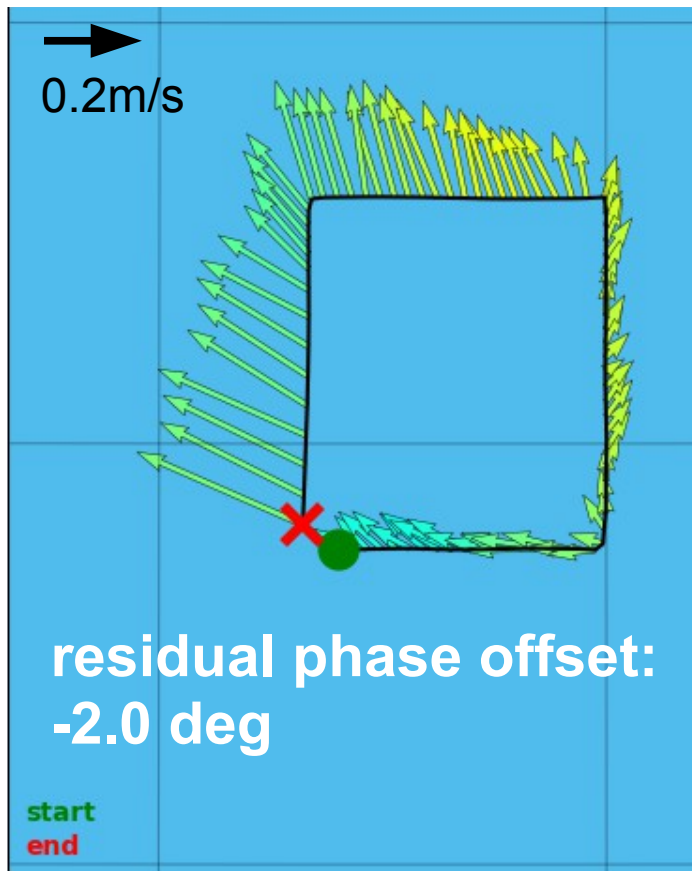
- Transducer angle (beam “3” clockwise from bow)

This is a **constant value** for the whole cruise

Examples of error in transducer angle follow...

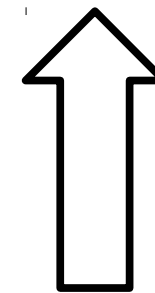
# water track phase calibration

1 deg. error = 10cm/s crosstrack error at 10kts



median      mean      std  
-0.0085    -0.0261    0.3742

**Goal: get within  
+/- 0.1deg**



median      mean      std  
**-2.0020**    **-2.0186**    0.3762

median      mean      std  
**1.9925**      **1.9798**    0.3757

# Examples of along-track error

Remove during single-ping editing

- Acoustic interference
- Bubbles (underway bias)

Correct after averaging:

- Scale factor (NB150 soundspeed correction)



# Calibration: scale factor (alongtrack bias)

Ocean U (original)

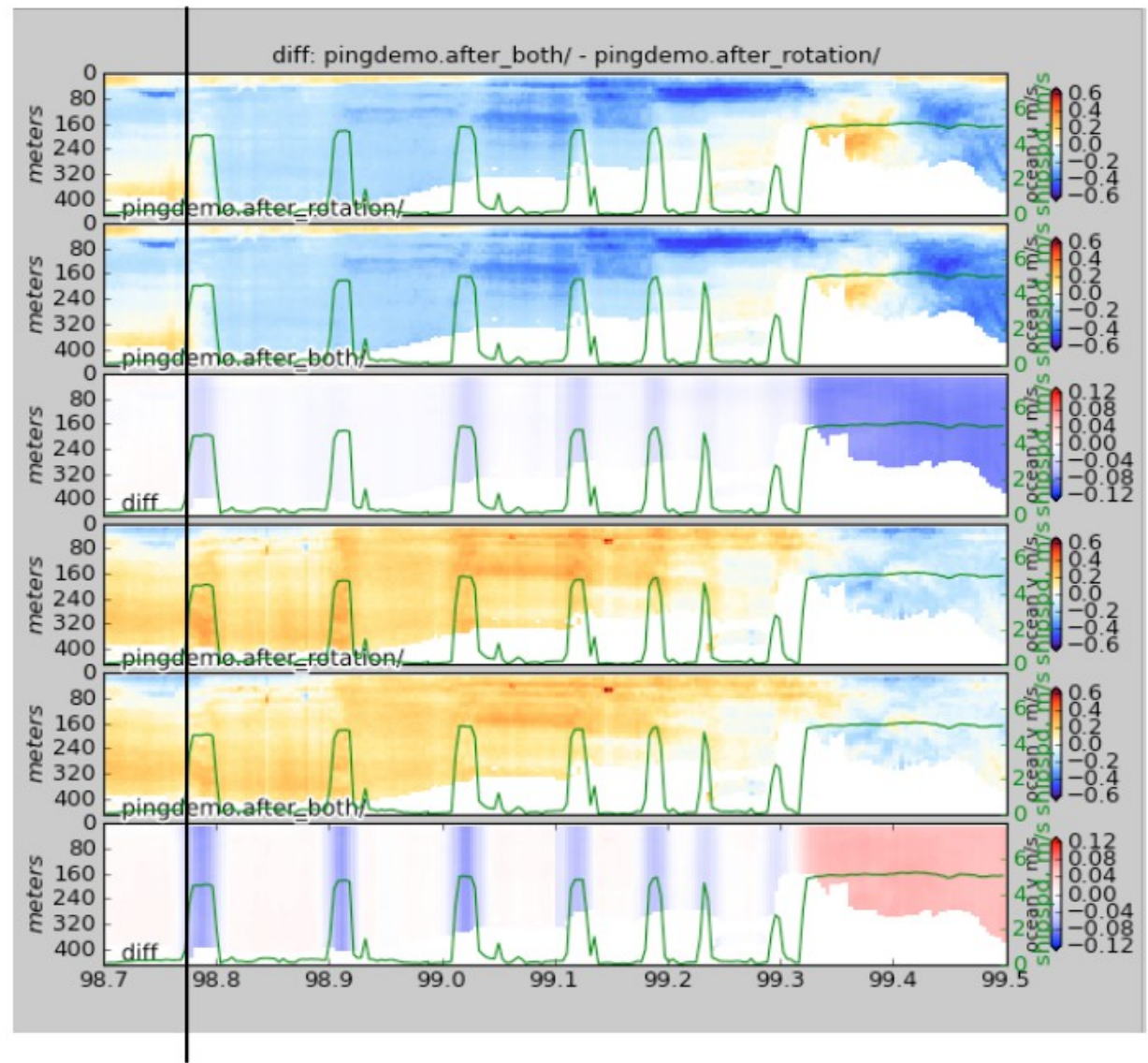
Ocean U (after scalefactor)

Diff: after-before

Ocean V (original)

Ocean V (after scalefactor)

Diff: after-before



# Calibration: ADCP-GPS offset

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## (1) Cross-track error:

- recovery requires accurate heading

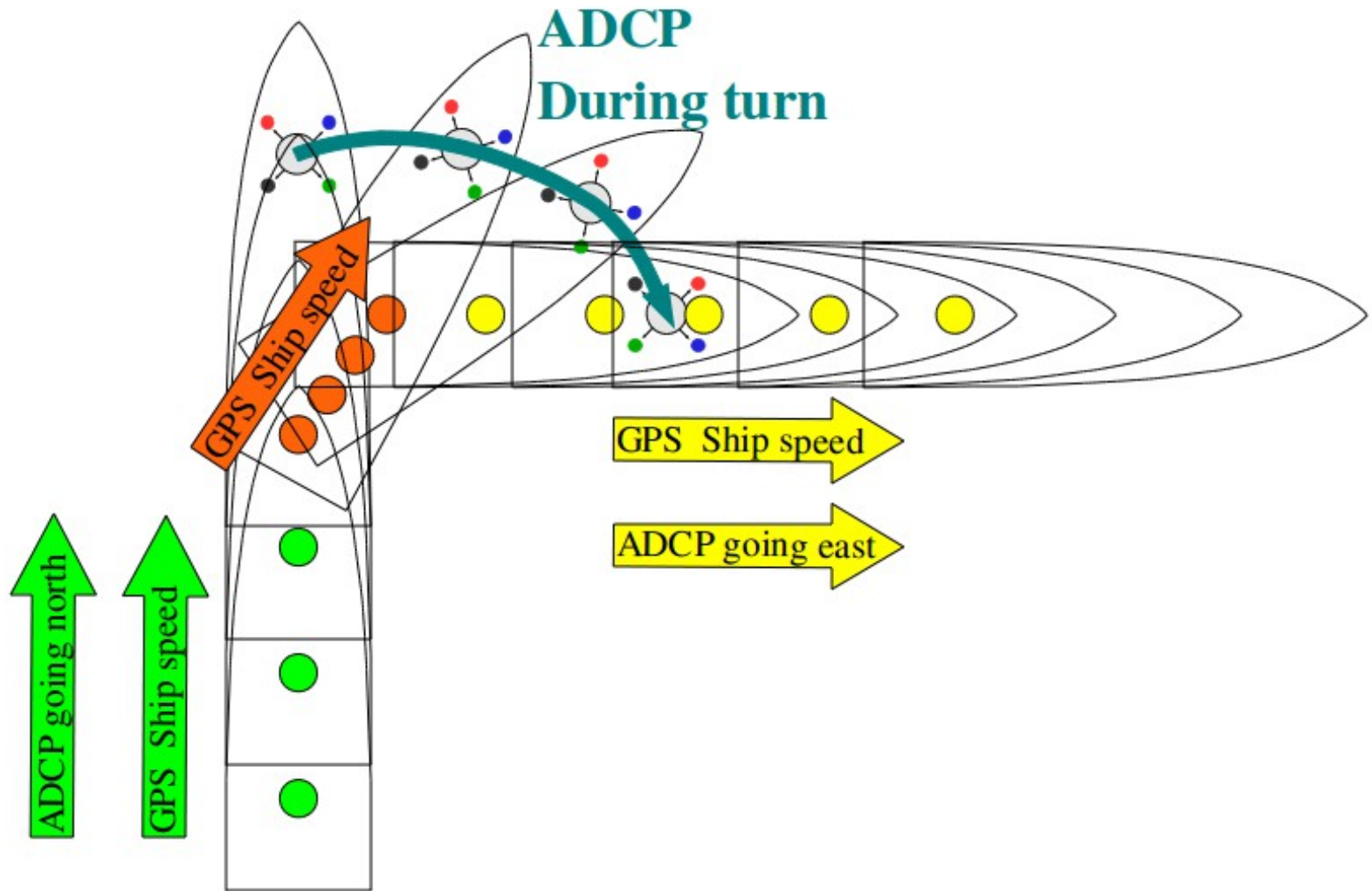
## (2) Along-track error:

- may indicate a serious problem
- recovery may be possible, incomplete, ambiguous

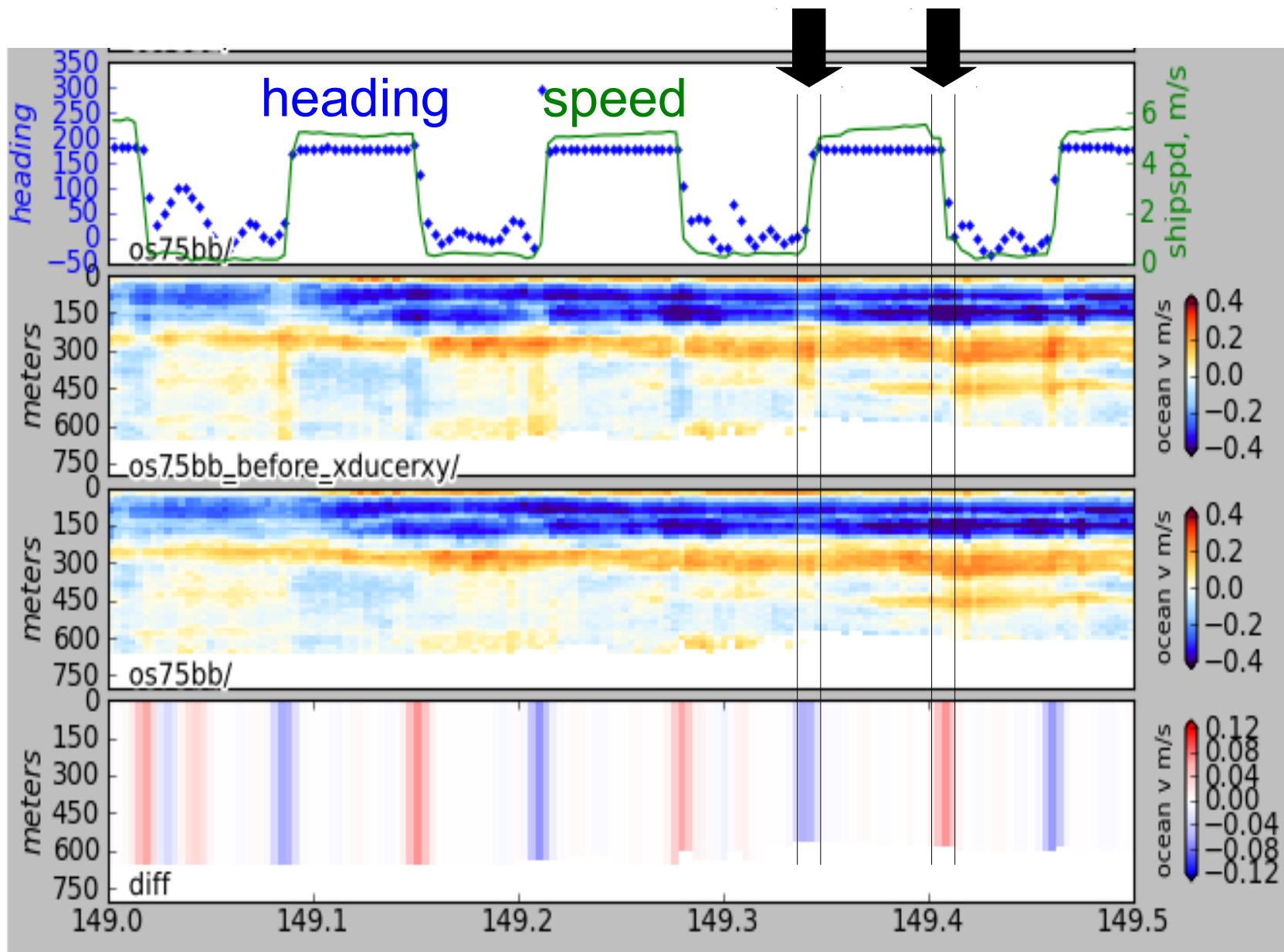
## (3) Transition/maneuvering error

- Lag or offset in time or space

# Example: offset between ADCP and GPS creates an artifact during maneuvering



# Transducer offset from GPS--error occurs: **transition** between on-station and underway



...using  
actual  
location

...using  
shifted GPS  
location

difference

**lunch break**

# CODAS Processing

- practical matters

**Acquire the data, write to disk** → **Fill the CODAS database**

acquisition	data stored to disk		load the database	
program name	averaged	singleping	translate to *.bin + *.cmd	executable (to load)
DAS2.48	pingdata.*		(no)	loadping
VmDAS	*.STA *.LTA		load_lta.m	ldcodas
VmDAS		*.ENR *.ENS *.ENX	load_ens.m	ldcodas
UHDAS		*.raw	load_uhblk	ldcodas

These steps use only the CODAS files so work on any averaged data, regardless of the source

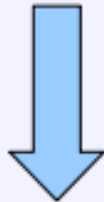
- single-ping editing
- LTA/STA
- PINGDATA



"navigation steps"		
do this	data type	how?
(1) extract navigation	all (except pingdata)	"ldcodas"
(2) smooth navigation	all	refsm
(3) put smoothed nav into CODAS	all	putnav



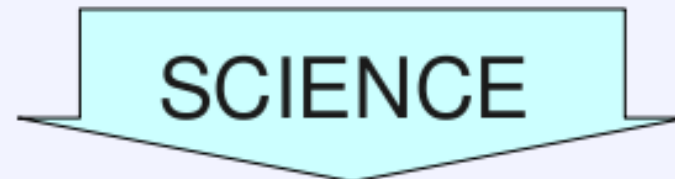
test calibration (angle, scale factor)		where?
method		
watertrack		cal/watertrk/adcpcal.out
bottom track		cal/botmtrk/btcaluv.out
reciprocal track		cal/watertrk/ recip.m



apply calibrations	
"rotate"	phase and/or scale factor



edit ADCP profiles	
run gautoedit, apply editing	repeat as needed





# UHDAS cruise directory structure

subdirectory	contents	importance	back up for ...
raw	all raw data	critical	archiving
rbin	intermediate files	nice to have	anyone who gets 'raw'
gbin	intermediate files	nice to have	anyone who gets 'raw'
proc	processed data <ul style="list-style-type: none"> <li>• codas database</li> <li>• underway figure archive</li> <li>• matlab files</li> </ul>	final at-sea product	science CD after cruise
reports	mini-webpage with metadata and overview of processed data	nice to have  (only in modern cruise directories)	science CD after cruise

**CODAS PROCESSING**

([link](#) in documentation – [raw+rbin+gbin] directories)

# VmDAS vs/ UHDAS

- Wed AM

# Overview: Matching UHDAS and VmDAS Components

Category	UHDAS	VMDAS
Acquisition	see	next
Logfiles Settings		page
Transformations Averaging	see	2nd
Preliminary processing Monitoring		page

# Data flow: acquisition

## task

- talk to instrument
- timestamps + write to disk:
  - ADCP(s)
  - NMEA (serial, udp)
- write intermediate files
- correct the timestamps:
  - write lookup table
  - write ADCP data again

## UHDAS

DAS.py

raw/adcp/\*.raw

raw/serial/\*.msg

rbin/serial/\*.rbin

gbin /ztimefit.txt :

## VmDAS

vmdas.exe

\*.ENR

\*N1R, N2R, N3R

\*ENS

- write logfiles
- note settings

raw/log/\*

raw/config

\*.LOG

\*.VMO

# Data flow: editing + averaging + calibration = preliminary processing

<u>task</u>	<u>UHDAS</u>	<u>VmDAS</u>
• transform to earth:	(in memory)	*.ENX
• create averages:		
- <b>edit single-ping earth data:</b>	(in memory)	--
- average, write averages	CODAS database	*STA, *LTA
• <b>preliminary processing:</b>		
- <b>assess calibration:</b>	<b>watertrack</b>	
	<b>bottomtrack</b>	
	<b>ADCP-GPS offset</b>	--
• monitoring, access		
- make plots	web site on ship	PC monitor
- <b>store plots</b>	- <b>web site on ship</b>	--
	- <b>processing dir</b>	--